



**3. Transfer amount** (Check and complete **Section 3A** or **3B.**)

A.  **Entire balance.** CollegeBound 529 will change the Beneficiary on your Account and will assign you a new Account number if you do not already have an Account for the new Beneficiary. Once the transfer is completed, the original Account will be closed.

Do you already have an Account for the new Beneficiary? (Check one.)

**Yes.**                      —   **If yes, go directly to Section 3C.**

Account Number

**No. Complete Sections 4, 5, 6, and 7.**

B.  **Partial balance.** CollegeBound 529 will keep the Account for the current Beneficiary open. The dollar amount you specify below will be transferred to the Account for the new Beneficiary identified in **Section 2.**

Name of Investment Option	Dollar amount (For partial amounts.)	OR	Total balance (Check if applicable.)
<input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/>		<input type="checkbox"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/>		<input type="checkbox"/>
<input type="text"/>	\$ <input type="text"/> <input type="text"/> <input type="text"/> , <input type="text"/> <input type="text"/> <input type="text"/> . <input type="text"/> <input type="text"/> <input type="text"/>		<input type="checkbox"/>

Do you already have an Account for the Beneficiary identified in **Section 2?** (Check one.)

**Yes.**                      —   **If yes, go directly to Section 3C.**

Account Number

**No. Complete Sections 4, 5, 6, and 7.**

**Note:** If the amount to be transferred exceeds the Maximum Contribution Limit, the excess will remain in the existing Account for your current Beneficiary.

C.  **Existing Account transfers.** Complete this section if you have selected "Yes" in **Section 3A** or **3B.** If an option is not selected below, the transfer amount will be allocated according to the new Beneficiary's existing Portfolio allocation election.

**Check one.**

- I want to transfer the assets in-kind. (An "in-kind" transfer is moving the Units from the current Beneficiary's Account to the new Beneficiary's Account without selling or buying Portfolios.) Go to **Section 5.**
- I want to transfer and allocate the assets according to the new Beneficiary's current Investment Options. (By selecting this option, the current investments will be liquidated, and the funds will be deposited into the new Beneficiary's Account according to the future allocation instructions on the new Beneficiary's Account.) Go to **Section 5.**
- I want to transfer the assets into the new Investment Option(s) selected in **Section 4.** (This will not change the future contribution allocation of the new Beneficiary's Account.) Go to **Section 4.**

**4. Investment Option selection** *(Check only one.)*

• Before choosing your Investment Options, see the CollegeBound 529 Program Description *(available at [www.collegebound529.com](http://www.collegebound529.com))* for complete information about the Investment Options offered. You must allocate at least **1%** of your contributions to each Investment Option you choose. Use whole percentages only.

A.  I want to **keep the same investment allocation** for my new Beneficiary.

B.  I want to **establish a new investment allocation** as listed below. Current Unit Class will be maintained upon transfer. If you would like to invest in a different Unit Class or Portfolio for future purchases, please notify us.

**Age-Based Portfolios:**

- Invesco CollegeBound Today Portfolio
- Invesco CollegeBound 2019-2020 Portfolio
- Invesco CollegeBound 2021-2022 Portfolio
- Invesco CollegeBound 2023-2024 Portfolio
- Invesco CollegeBound 2025-2026 Portfolio
- Invesco CollegeBound 2027-2028 Portfolio
- Invesco CollegeBound 2029-2030 Portfolio
- Invesco CollegeBound 2031-2032 Portfolio
- Invesco CollegeBound 2033-2034 Portfolio
- Invesco CollegeBound 2035-2036 Portfolio
- Invesco CollegeBound 2037-2038 Portfolio

**Whole percentages only**

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%

**Please select only one Unit Class:**

- Class A  
*(In-State RZ)*
- Class C  
*(In-State RA)*

**Target Risk Portfolios:**

- Invesco Conservative College Portfolio
- Invesco Moderate College Portfolio
- Invesco Growth College Portfolio

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%

**Individual Options:**

- Invesco Stable Value Portfolio
- Invesco Short Duration Inflation Protected Portfolio
- Invesco Core Plus Bond Portfolio
- Invesco Equally-Weighted S&P 500 Portfolio
- Invesco Diversified Dividend Portfolio
- Invesco FTSE RAFI US 1500 Small-Mid Portfolio
- Invesco Small Cap Growth Portfolio
- Invesco International Growth Portfolio
- Invesco FTSE RAFI Developed Markets ex-U.S. Portfolio
- Invesco Equity and Income Portfolio
- Invesco Global Sustainable Equity Portfolio

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
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<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%
<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	%

**Total**       %

5. Successor Account Owner information (Optional)

- The Successor Account Owner will take over control of the Account in the event of your death.
- The person you designate as a Successor Account Owner **must be 18 years old.**
- You may revoke or change the Successor Account Owner at anytime. See the CollegeBound 529 Program Description for more information.

Legal Name (First name)/or Trust name (m.i.)

Legal Name (Last name)/or remaining Trust name

Birth Date or Trust Date (mm/dd/yyyy)

6. Recurring Contributions (Optional)

Through Recurring Contributions you can have funds transferred electronically—on a regular basis—from your bank, savings and loan, or credit union account to your CollegeBound 529 Account. Your contribution will be credited to your CollegeBound 529 Account on the business day before it is debited from your bank account. You may add, change, or delete bank information, or change the investment amount and frequency at any time by logging on to your Account at www.collegebound529.com.

I would like to continue my existing Recurring Contributions for the new Beneficiary.

**7. SIGNATURE — YOU MUST SIGN BELOW**

By signing below, I hereby acknowledge that:

- I have received and read this form and agree to the terms and conditions of the CollegeBound 529 Program Description, which governs all aspects of this Account and is incorporated herein by reference. I will retain a copy of it for my records.
- I certify that all of the information I have provided on this form is accurate and complete and that I am bound by the terms, rights and responsibilities stated in the CollegeBound 529 Program Description and by any and all statutory, administrative and operating procedures that govern CollegeBound 529. Except as set forth below, I understand that the CollegeBound 529 Program Description and Enrollment Form constitute the entire agreement between me and CollegeBound 529. No person is authorized to make an oral modification to this agreement.
- I understand investments are not guaranteed or insured by the FDIC or any other government agency, and are not deposits or other obligations of any depository institution. Investments are not guaranteed or insured by CollegeBound 529, the State of Rhode Island, the Office of the General Treasurer of the State of Rhode Island, the Rhode Island State Investment Commission, Ascensus College Savings Recordkeeping Services, LLC (the "Program Manager") and its affiliates, Invesco Advisers, Inc., Invesco Distributors, Inc. and their respective agents, employees and affiliates (collectively, "CollegeBound 529 Associated Persons"), and are subject to investment risks including the loss of the principal amount invested.
- I understand that participation in CollegeBound 529 does not guarantee that contributions and the investment return on contributions, if any, will be adequate to cover tuition and other higher education expenses or that a Beneficiary will be admitted to or permitted to continue to attend an Eligible Educational Institution.
- I intend to use my Account solely to pay the qualified higher education expenses of the Beneficiary.
- I understand that by signing this Beneficiary Change form, I am authorizing CollegeBound 529 and its service providers, including the Program Manager, to provide my Financial Advisor with access to my Account and perform transactions on my behalf. I agree to hold harmless CollegeBound 529 Associated Persons from any claims I make and/or losses I incur as a result of the acts or omissions of my Financial Advisor.
- If I have chosen the Recurring Contributions option, I authorize CollegeBound 529 and its designees, upon telephone or online request, to pay amounts representing redemptions made by me or to secure payment of amounts invested by me, and to accept any such credits or debits to my Account without responsibility as to their correctness. I acknowledge that the origination of ACH transactions involving my bank account must comply with U.S. law. I further agree that CollegeBound 529 Associated Persons not incur any loss, liability, cost, or expense for acting upon my telephone or online request. I understand that this authorization may be terminated by me at any time by notifying CollegeBound 529 and the bank by telephone or in writing, and that the termination request will be effective as soon as CollegeBound 529 and the bank have had a reasonable amount of time to act upon it. I certify that I have authority to transact on the bank account identified by me in **Section 8F** of my original **Enrollment Form**.
- To the best of my knowledge, each contribution to my Account, when added to the value of all other Accounts established for the same Beneficiary in 529 plans issued by the State of Rhode Island will not cause the aggregate balances in such Accounts to exceed the Maximum Contribution Limit then in effect or the cost in current dollars of qualified higher education expenses that I reasonably anticipate the Beneficiary will incur.
- If the Account is funded with UGMA/UTMA assets, I certify that I am of legal age in my state of residence, I am the Parent/Guardian/Custodian of the Account, and that I am authorized to open the Account.
- If the Account is owned by an entity or trust, I certify that I am authorized to act on its behalf in making this request and that I am authorized to open an Account for the Beneficiary named in **Section 2**. I agree to promptly inform CollegeBound 529 in the event that any of the foregoing certifications becomes untrue. I understand and acknowledge that CollegeBound 529 has the right to terminate the entity's participation in the Program if it has reasonable grounds to believe that any of the foregoing certifications is untrue.
- I certify that the new Beneficiary is a "Member of the Family" of the current Beneficiary listed in **Section 1**. I understand that transfers not meeting this condition may result in the earnings portion of the transfer being considered a Non-Qualified Distribution subject to both state and federal income tax as well as an additional 10% federal penalty tax.

SIGNATURE

Signature of Account Owner

□□ — □□ — □□□□

Date (mm/dd/yyyy)



State of Rhode Island  
Office of the General Treasurer  
Seth Magaziner



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