



### 3. Updated Account Owner information

- If you are changing your name and/or contact information, provide the new information exactly as you would like it to appear on your CollegeBound 529 Account. You do not need to enter information that will not be changed.
- If you are changing your name, you must either provide a copy of an official document that changes your name. (i.e. Marriage Certificate, Divorce Decree, etc.) or have your old and new signature Medallion Signature Guaranteed in **Section 8**.

**Note:** Changing the address on your Account will place a nine business day hold on any Distribution(s) you may request. The funds will be released once the hold time has been met.

Name of Account Owner (first, middle initial, last)

Permanent Street Address (P.O. boxes are **not** acceptable.)

City

State

Zip Code

Account Mailing Address if different from above (This address will be used as the Account's address of record for all Account mailings.)

City

State

Zip Code

Telephone Number (In case we have a question about your Account.)

Email Address

### 4. Transfer assets to a new Account Owner

- This will transfer ownership of all of the assets in the referenced Account to the new Account Owner listed below.
- If you transfer ownership, you must also provide a Medallion Signature Guarantee in **Section 8**.
- The new Account Owner will control the Account and the disposition of all assets held in the Account.
- The new Account Owner must also complete an **Enrollment Form** if the new Account Owner does not have an Account on file.

**Important:** You cannot change the Custodian for an UGMA/UTMA Account.

Account Number (If applicable)

Name of New Account Owner (first, middle initial, last) **(Required)**

Social Security Number or Taxpayer Identification Number **(Required)**

Birth Date/Trust Date (mm/dd/yyyy) **(Required)**

## 5. Successor Account Owner information

- Complete this section only if you are adding, changing, or deleting Successor Account Owner information on your Account.
- As the Account Owner, you may designate a Successor Account Owner to take control of the Account in the event of your death. You may revoke or change your designation later by completing the appropriate form. See the CollegeBound 529 Program Description for more information.
- You can also name a Trust as a Successor Account Owner.
- The person you designate as Successor Account Owner **must be at least 18 years old**.

**Check one.**

☐ Add      ☐ Change      ☐ Delete

[illegible]

Name of Successor Account Owner (first, middle initial, last)

$\square\square - \square\square - \square\square\square\square$

Birth Date (mm/dd/yyyy) **(Required)**

## 6. Interested party information

Complete this section if you want to add an individual as an interested party to the Account. An interested party will be able to call the Plan, receive information verbally about the Account and receive quarterly statements. An interested party will not be allowed to make changes to the Account or request transactions. You can also use this section to replace or change existing interested party information on your Account. To add or change information for more than one interested party, use a separate sheet.

**Check one.**

☐ Add      ☐ Replace interested party      ☐ Change current information      ☐ Delete

[illegible]

Name (first, middle initial, last)

[illegible]

Mailing Address

[illegible]

City

State

Zip Code

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Telephone Number (In case we have a question about your Account.)

**Relationship to Account Owner.**

☐ Parent/Guardian      ☐ Investment Advisor      ☐ Compliance      ☐ Other

**7. Signature — YOU MUST SIGN BELOW** *(However, if you are changing your name or transferring ownership of your Account(s) to a new Account Owner, skip this section and complete **Section 8** instead.)*

- I certify that I have read, understand, consent, and agree to all the terms and conditions of the CollegeBound 529 Program Description and understand the rules and regulations governing CollegeBound 529 as they relate to this information change request.
- By signing below, I authorize CollegeBound 529 or its designee to change my Account information according to the instructions above.
- If I am changing the Account Owner, by signing this form as the current Account Owner, I acknowledge that the transfer is subject to CollegeBound 529's verification of the new Account Owner. I have consulted with a tax advisor concerning the potential income gift and estate tax consequences of my transfer of ownership before signing and submitting this form.
- If the Account is owned by an entity or trust, I certify that I am authorized to act on its behalf in making this request. If the Account is funded with UGMA/UTMA assets, I further certify that I am the Parent/Guardian/Custodian of the Account.

SIGNATURE

Signature of Account Owner

□□ — □□ — □□□□

Date (mm/dd/yyyy)

**8. Medallion Signature Guarantee — REQUIRED FOR NAME CHANGES AND CHANGES TO THE ACCOUNT OWNER OF AN EXISTING ACCOUNT**

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- **Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.**

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the CollegeBound 529 Program Description.

SIGNATURE

Former Signature of Account Owner *(Only used if you are changing your name) (In the presence of the authorized officer.)*

SIGNATURE

Current Signature of Account Owner *(In the presence of the authorized officer.)*

Signature of Guarantor

Title

Name of Institution

□□ — □□ — □□□□

Date (mm/dd/yyyy)

Authorized Officer to place stamp here



**James A. Diossa**  
Rhode Island General Treasurer

