Transfer Due to Death of Account Owner Form

ALASKA529

- Use this form to change the ownership of an Account due to the death of the original Account Owner.
- Complete this form for each new Account Owner.

• Successor Account Owner On File:

If the Account Owner of an Account is deceased, and there is a Successor Account Owner on file, the Successor requests the transfer of ownership. Please include a death certificate for the deceased Account Owner if one is not already on file.

No Successor Account Owner On File:

If the Account Owner of an Account is deceased, and a Successor Account Owner was not designated, the Beneficiary is responsible for naming a new Account Owner. If the Beneficiary is a minor, the parent or legal guardian of the Beneficiary is responsible for naming a new Account Owner. Please include a death certificate for the deceased Account Owner as well as documentation of the relationship of the parent/legal guardian to the Beneficiary (e.g., birth certificate, guardianship appointment, etc.).

- If the new Account Owner does not already have an Account for the Beneficiary, the new Account Owner must establish an Account.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink.

Capitalized terms not otherwise defined on this form have the meanings set forth in the Plan Disclosure Document.

1-888-425-2752 Monday - Friday 7 a.m. to 6 p.m. Alaska Time	
Alaska529plan.com	
Regular mailing address: Alaska 529 PO Box 219865 Kansas City, MO 64121	
Overnight mailing address: Alaska 529 1001 E 101st Terrace, Suite 200 Kansas City, MO 64131	

Deceased Account Owner	(First name)				
Deceased Account Owner	(Last name)				
Last 4 Digits of Social	OR	Account Numbe	r		
Security Number					
Beneficiary (First name)					
Beneficiary (Last name)					
beneficially (East name)					
Transfer Instruct	ions				
	cessor Accou	nt Owner — S	ection 3		
Transfer to Suc					

DO NOT STAPLE

3. Transfer to Successor Account Owner

Α.	Successor Information
	Successor Account Owner (First name) (M.I.)
	Successor Account Owner (Last name)
	Please check one box:
	The new Account Owner already has an Account for this Beneficiary. (Please provide Account number.)
	Existing Account Number
	A new Account will be established for this Beneficiary. (Please include an Account Application with this form.)
В.	Investment Instructions
	If an option is not selected below, the transfer amount will be allocated according to the new Account's Standing Allocations for future contributions.
	Check one.
	I want to transfer the assets in-kind. (An "in-kind" transfer will move the assets to the receiving Account without a change in the currently held investment(s) or the Standing Allocations for future contributions.)
	I want to transfer and allocate the assets according to the new Account's Standing Allocations for future contributions. (By selecting this option, the current investments will be liquidated, and the funds will be deposited into the new Account according to the Standing Allocations for future contributions on the new Account.)
Na	aming of New Account Owner by Beneficiary (Only required if no Successor on file)
	Beneficiary or Parent/Legal Guardian Information
	Please remember to include the documentation showing the parent or legal guardian of the Beneficiary, if applicable.
	Beneficiary or Parent/Legal Guardian First Name (M.I.)
	Beneficiary or Parent/Legal Guardian Last Name
В.	New Account Owner Information
	New Account Owner's First Name (M.I.)
	New Account Owner's Last Name

5. Transfer to New Account Owner (As named by Beneficiary in Section 4B)

To be completed by new Account Owner.

Α.	Receiving Account Information
	Please check one box:
	The new Account Owner already has an Account for this Beneficiary. (Please provide Account number.) Existing Account Number
	A new Account will be established for this Beneficiary. (Please include an Account Application with this form.)
В.	Investment Instructions
	If an option is not selected below, the transfer amount will be allocated according to the new Account's Standing Allocations for future contributions.
	Check one.
	I want to transfer the assets in-kind. (An "in-kind" transfer will move the assets to the receiving Account without a change in the currently held investment(s) or the Standing Allocations for future contributions.)
	I want to transfer and allocate the assets according to the new Account's Standing Allocations for future contributions. (By selecting this option, the current investments will be liquidated, and the funds will be deposited into the new Account according to the Standing Allocations for future contributions on the new Account.)
Si	gnature — YOU MUST SIGN BELOW
Th	e Successor Account Owner or the new Account Owner named by the parent/legal guardian of a minor Beneficiary must sign in part A.
	the Successor Account Owner, I certify that the information provided in this form is true and complete in all respects. I agree to cept the transfer of assets from the deceased Account Owner's Account to an Account registered in my name.
Α.	Successor Account Owner
	Signature of Successor Account Owner Date (mm-dd-yyyy)
Th	e Beneficiary (or the parent/legal guardian of a minor Beneficiary) must sign in part B, if applicable.
fo	the Beneficiary (or the parent/guardian of the Beneficiary if the Beneficiary is a minor), I certify that the information provided in this rm is true and complete in all respects. If I am signing on behalf of a minor Beneficiary, I certify that I am authorized to act on behalf of a minor Beneficiary as the individual's parent or legal guardian.
В.	Beneficiary or Parent/Legal Guardian
	Signature of Beneficiary or Parent/Legal Guardian Date (mm-dd-yyyy)
PI	ease only fill out below if you will need your original legal documents returned: (Optional)
Re	cipient First Name (M.I.)
Re	cipient Last Name
Ma	ailing Address
Cit	y State Zip Code