BRIGHTER FUTURE
529 ADVISOR PLAN

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COLLEGE SAVINGS PROFILE – Worksheet

Client's Name			**Date**
Street Address			
City		**State**	**Zip**
Home Phone		**Mobile Phone**	
E-mail address			

About The Beneficiaries	**Beneficiary 1**	**Beneficiary 2**	**Beneficiary 3**
Beneficiary's Name			
Relationship (e.g., child)			
Birth Date			
Age			
Years Until College			
Funds Saved for College			
Source	**Amount($) / Rate of Return(%)**	**Amount($) / Rate of Return(%)**	**Amount($) / Rate of Return(%)**
Bank Account / CDs	$ / %	$ / %	$ / %
Existing Investments	$ / %	$ / %	$ / %
Savings Bonds	$ / %	$ / %	$ / %
Trusts	$ / %	$ / %	$ / %
UGMA/UTMAs	$ / %	$ / %	$ / %
529 Plans (Plan Name(s))	$ / %	$ / %	$ / %
Other:	$ / %	$ / %	$ / %
Total Amount	**$**	**$**	**$**
Other Potential Sources of Funds	**Estimated Amount ($)**	**Estimated Amount ($)**	**Estimated Amount ($)**
Grandparent	$	$	$
Other Family Member:	$	$	$
Anticipate Gifts	$	$	$
Anticipated Fin Aid/Scholarship	$	$	$
Other:	$	$	$
Total Amount	**$**	**$**	**$**
General College Expectations			
Cost Client Expects to Cover (%)	%	%	%
Historical Annual Tuition Increase	3-5%*	3-5%*	3-5%*
529 Plan Investment Information			
Risk Tolerance	**Aggressive → Conservative**	**Aggressive → Conservative**	**Aggressive → Conservative**
Expected Contributions	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Monthly ($)	$	$	$
Quarterly ($)	$	$	$
Lump Sum ($)	$	$	$
Annual Total ($)	$	$	$

(Over, please)

FOR MORE INFORMATION, VISIT *www.brighterfutureadvisor529.com* OR CALL 1.888.529.9552

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*The College Board, Trends in College Pricing, 2013.

About The Beneficiaries (cont)	Beneficiary 1		Beneficiary 2		Beneficiary 3	
About College (Check all boxes that apply)						
	☐ 4-yr Public	☐ 4-yr Private	☐ 4-yr Public	☐ 4-yr Private	☐ 4-yr Public	☐ 4-yr Private
	☐ 2-yr Public	☐ 2-yr Private	☐ 2-yr Public	☐ 2-yr Private	☐ 2-yr Public	☐ 2-yr Private
	☐ In-state	☐ Out-of-state	☐ In-state	☐ Out-of-state	☐ In-state	☐ Out-of-state
	☐ Trade/ Vocational	☐ Graduate	☐ Trade/ Vocational	☐ Graduate	☐ Trade/ Vocational	☐ Graduate
	☐ Other:		☐ Other:		☐ Other:	
	☐ Specific School:		☐ Specific School:		☐ Specific School:	

About the Client

Expectations from a 529 Plan	Most → Least Important	Most → Least Important	Most → Least Important
Federal Tax Advantages	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
State Tax Benefits	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Automatic Investment Plan	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Estate Planning	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Investment Options	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Control of Assets	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
High Maximum Contributions	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Other	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐
Other (please list)			
Additional Interests / Notes	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐	☐ ☐ ☐ ☐ ☐

For more information about the Brighter Future Advisor Plan, contact your financial professional, call 1-888-529-9552 or visit www.brighterfutureadvisor529.com to obtain a Program Description and Participation Agreement which includes investment objectives, risks, charges, expenses, and other important information; read and consider it carefully before investing or sending money. Ascensus Broker Dealer Services, LLC, Distributor.

Please Note: Before you invest, consider whether your or the beneficiary's home state offers any state tax or other state benefits such as financial aid, scholarship funds, and protection from creditors that are only available for investments in that state's qualified tuition program. You should also consult your financial, tax, or other advisor to learn more about how state-based benefits (or any limitations) would apply to your specific circumstances. You also may wish to contact directly your home state's 529 college savings plan(s), or any other 529 plan, to learn more about those plans' features, benefits, and limitations. Keep in mind that state-based benefits should be one of many appropriately weighted factors to be considered when making an investment decision.

The Brighter Future Advisor Plan is a college savings program sponsored by the State of Arkansas and is administered by the Arkansas 529 Plan Review Committee (Committee). Ascensus Broker Dealer Services, LLC, the Program Manager, and its affiliates have overall responsibility for the day-to-day operations, including investment advisory, recordkeeping, administrative services, and marketing. BlackRock Fund Advisors serves as Investment Manager of the Brighter Future Advisor Plan except for the Savings Portfolio, which is managed by Sallie Mae Bank. The Brighter Future Advisor Plan's Portfolios invest in either (i) exchange-traded funds; or (ii) a Federal Deposit Insurance Corporation (FDIC)-insured omnibus savings account held in trust by the Committee at Sallie Mae Bank. Units of the Portfolios are municipal securities, are not exchange-traded funds, and the value of units will vary with market conditions.

Investment returns will vary depending on the performance of the Portfolios you choose. Except to the extent of FDIC insurance available for the Savings Portfolio, you could lose all or a portion of your money by investing in the Brighter Future Advisor Plan, depending on market conditions. Account Owners assume all investment risks as well as responsibility for any federal and state tax consequences.

BlackRock Fund Advisors is a subsidiary of BlackRock, Inc. and is not affiliated with Ascensus Broker Dealer Services, LLC.

Investing involves risk, including possible loss of principal.

Not FDIC Insured (except for the Savings Portfolio). No Bank, State or Federal Guarantee. May Lose Value.

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