

The Arkansas 529 GIFT Plan

Official Rules

ARKANSAS 529 DREAM BIG SWEEPSTAKES

ALL ENTRIES MUST BE SUBMITTED ONLINE BY 6/30/2021 at 4 PM, CENTRAL TIME. ENTRANTS/WINNERS MUST BE 18 YEARS OF AGE ON OR BEFORE MAY 17, 2021, THE TIME OF THE SWEEPSTAKES LAUNCH.

1. **How to enter:** Complete all required fields and click the **Submit** button. To be eligible to enter you must open a new Arkansas 529 GIFT Plan account (the “529 Account”) between May 17, 2021 and June 30, 2021 (the “Sweepstakes Period”). ALL ENTRIES MUST BE RECEIVED BY 6/30/2021 AT 4:00 PM, CENTRAL TIME. Only online entries will be accepted; no mechanically reproduced, illegible, incomplete, forged, or altered entries will be accepted. Limit: one entry per person.
2. **Selection of winners:** Prize winner(s) will be randomly selected at the drawing taking place on or about July 1, 2021. Odds of winning depend upon number of eligible entries received. Winner will be notified by telephone and via email. The winner will be the account owner and, at their discretion, they can allocate the prize across one or more beneficiary accounts. Prize may be awarded to an alternate winner if: 529 Account is not established and in good order; winner is not eligible to open the 529 Account; prize notification email is returned as undeliverable and three attempts to contact winner live by telephone are unsuccessful (voicemails will be left; the winner will have 3 days to return the message and accept the prize).
3. **Prizes:** FIVE (5) \$529 contributions drawn on July 1, 2021, who will each receive a \$529 contribution paid directly to the winner's 529 Account. Account must be opened and in good order during the sweepstakes live period of May 17, 2021 – June 30, 2021. Allow 8–12 weeks for delivery of Prize. Sponsor responsible only for delivery of Prize; not responsible for Prize utility or otherwise. Sweepstakes does not take into account winner's personal circumstances or other factors that may be important in making investment decisions. Investment returns are not guaranteed, and the winner could lose money by investing in the Arkansas 529 GIFT Plan. Winner should consult his or her own tax advisor about tax implications, if any, of the 529 Account, and contributions to and/or withdrawals from the 529 Account. Prize may be considered income, and any and all taxes associated with Prize are the responsibility of the winner. Sponsor reserves the right to disqualify any entrant if these Sweepstakes rules are not followed.
4. **Eligibility:** Sweepstakes open to individual legal residents of the State of Arkansas, age 18 years or older as of 5/17/2021 who are U.S. citizens or resident aliens, have a U.S. Social Security number or U.S. taxpayer identification number, and have a permanent U.S. address that is not a P.O. Box. Individual must have opened a new 529 Account during the Sweepstakes Period. The Sweepstakes is not open to employees and their immediate families and members of the same household of: Sponsor, Ascensus Broker Dealer Services, LLC, Ascensus College Savings Recordkeeping Services, LLC, Ascensus Investment Advisors, LLC, and the Arkansas State Treasurer's Office, or the Arkansas Section 529 Plan Review Committee (“Committee”).

5. **Miscellaneous and conditions of participation:** This offer is void where prohibited or restricted by law and is subject to applicable federal, state, and local laws and regulations. Taxes and fees, if any, are the sole responsibility of winner. By participating in this Sweepstakes, each entrant agrees to be bound by these official rules and the decisions of the judges, and warrants that he or she is eligible to participate in this Sweepstakes. Each entrant agrees, except where prohibited by law, to release and discharge, hold harmless, and indemnify Sponsor, its employees, agents, representatives, officers, and directors, and their immediate families, successors, and assigns, and all others associated with the development and execution of this Sweepstakes, from any and all tax liability that may be imposed or associated with receipt or use of the Prize, and from and against any and all claims, actions, proceedings, and liability for any damages, expenses, fees, injury, or losses sustained in connection with the receipt, ownership, or use of the Prize, or while traveling to, preparing for, or participating in any Sweepstakes-related activity. By entering the Sweepstakes or by winning, entrants grant the Sponsor the right to publicize the entrant's name, photograph, image, likeness, voice, statements, and biographical information for advertising, trade, and promotional purposes without compensation and without opportunity for review in all manner and media, in perpetuity, except where prohibited by law.
6. **Entrant's Personal Information:** Information collected from entrants will be maintained by the Sponsor and its representatives in accordance with applicable federal and state consumer privacy laws, rules, and regulations.
7. **Other Conditions:** Sweepstakes is not a recommendation to invest in the Arkansas 529 GIFT Plan. Sponsor reserves the right to change, alter, or amend these Sweepstakes rules or to alter, modify, or terminate the Sweepstakes, as necessary, in its sole discretion, to help ensure the fair administration of the Sweepstakes or to comply with applicable law. The Sponsor, its agents and representatives, promotion and fulfillment agencies are not responsible for and will not be liable for (a) late, lost, misdirected, incomplete or unintelligible entries; (b) telephone, electronic, hardware or software program, network, Internet or other computer malfunctions, failures or difficulties of any kind; (c) failed, incomplete, garbled or delayed computer transmission; (d) any condition caused by events beyond the control of the Sponsor that may cause the Sweepstakes to be disrupted or corrupted; (e) any injuries, losses or damages of any kind arising in connection with or as a result of the Sweepstakes; or (f) any printing or typographical error in any materials associated with the Sweepstakes. For the name of the winners, mail your request and a self-addressed envelope to the address listed below.

Sponsor:

GIFT College Investing Plan
P.O. Box 219376
Kansas City, MO 64121-9376

For more information about The GIFT College Investing Plan (the "GIFT Plan"), call 1-800-587-7301 or visit arkansas529.org to obtain a Program Description and Participation Agreement, which includes investment objectives, risks, charges, expenses and other information; read and consider it carefully before making an investment or sending money.

Before you invest, consider whether your or the beneficiary's home state offers any state tax or other state benefits such as financial aid, scholarship funds, and protection from creditors that are only available for investments in that state's qualified tuition program.

The GIFT Plan is a college tuition savings program sponsored by the State of Arkansas and administered by the Arkansas Section 529 Plan Review Committee ("Committee"). Ascensus Broker Dealer Services, LLC ("ABD"), the Program Manager, and its affiliates have overall responsibility for the day-to-day operations, including investment advisory services, recordkeeping, administrative services and marketing of the GIFT Plan. The GIFT Plan's Portfolios invest in: (i) mutual funds; or (ii) an FDIC-insured omnibus savings account held in trust by the Committee at Sallie Mae Bank. Except for the GIFT Plan Savings Portfolio, investments in the GIFT Plan are not insured by the FDIC. Units of the Portfolios are municipal securities and the value of units will vary with market conditions.

Not FDIC-Insured (except for the GIFT Plan Savings Portfolio). No Bank, State or Federal Guarantee. May Lose Value.