ScholarShare College Savings Plan

Transfer Due to Death of Account Participant Form

ScholarShare529℠

- Use this form to transfer the Account Ownership due to death.
- Complete this form for each new account owner.
- **Successor Participant On File:**
  The owner of an individual 529 account is deceased, a Successor Participant is designated for the account specified, and transfer of ownership is requested by Successor Participant. Please include death certificate for the deceased Account Participant if one is not already on file.
- **No Successor Participant On File:**
  The owner of an individual 529 account is deceased, no Successor Participant is designated, and transfer of ownership is being requested by the executor of the decedent's estate. Please include death certificate for the deceased Account Participant as well as executor of estate documentation.
- If the new Account Participant does not already have an account for the Beneficiary, the new Account Participant must establish an account.
  - New accounts can be established online at **ScholarShare529.com** or with a mailed **Account Application,** or if opening an Entity or Trust Account by completing the **Account Application for an Entity Account**, ***however*** trust accounts must be opened via a mailed **Account Application For an Entity Account.** Print clearly, preferably in capital letters and black ink.

To request assistance in completing this form call us at **1.800.544.5248**, Monday through Friday from 8 a.m. – 7 p.m. PT.

1.800.544.5248
Monday to Friday 8 a.m. – 7 p.m. PT

www.ScholarShare529.co

Regular mailing address:
ScholarShare College Savings Plan
P.O. Box 219185
Kansas City, MO 64121-9185

Overnight mailing address:
ScholarShare College Savings Plan
1001 E 101st Terrace, Suite 200
Kansas City, MO 64131

1. Current Account Information

Deceased Participant *(First name)* *(M.I.)*

Deceased Participant *(Last name)*

Last 4 Digits of Social Security Number or Account Number ☐☐☐☐☐☐☐☐☐☐ – ☐☐

Please list Beneficiary name and corresponding account number below.

Beneficiary Name **Account Number** ☐☐☐☐☐☐☐☐☐☐ – ☐☐

2. New Account Information

Account Participant *(First name)* *(M.I.)*

Account Participant *(Last name)*

CA TRANSFER DUE TO DEATH

Please check one box:

☐ **An Account for this Beneficiary exists for this new Account Participant.** *(Please provide account number.)*

☐☐☐☐☐☐☐☐☐☐ – ☐☐

Existing Account Number

☐ **A new Account will be established for this Beneficiary**. *(Please include a new **Account Application** with this form.)*

Capacity of Requestor *(Please choose one)***:**

☐ Successor Participant

☐ Executor of the Decedent's Estate

☐ Other *(Please specify)* ☐

3. Transfer Type

If an option is not selected below, the transfer amount will be allocated accordingly to the new Account's existing allocation for future contributions.

Check one.

☐ I want to transfer the assets in-kind. *(An "in-kind" transfer will move the selected assets over to the receiving account without a change in currently held investment allocations(s).)*

☐ I want to transfer and allocate the assets according to the new Account's current allocations for future contributions. *(By selecting this option, the current investments will be liquidated, and the funds will be deposited into the new Account according to the allocation for future contributions on the new Account.)*

4. Signature — YOU MUST SIGN BELOW

The Successor Participant or Executor of the deceased Participant's Estate must sign below.

As the Successor Participant, or Executor of the deceased Account Participant's Estate, I certify that the information provided in this form is true and complete in all respects.

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New Account Participant's First Name *(M.I.)*

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New Account Participant's Last Name

SIGNATURE ☐☐ – ☐☐ – ☐☐☐☐

Signature of New Account Participant Date *(mm-dd-yyyy)*

Please only fill out below if you will need your original legal documents returned: *(Optional)*

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Recipient First Name *(M.I.)*

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Recipient Last Name

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Mailing Address

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City State Zip Code

TIAA

TIAA-CREF Tuition Financing, Inc., Plan Manager. TIAA-CREF Individual & Institutional Services, LLC, Member FINRA, distributor and underwriter for the ScholarShare College Savings Plan.