



Path2College 529 Plan Transfer Form



Instructions

- Please read the Path2College 529 Plan Description before changing the Account Owner and/or Beneficiary on a Plan Account. You may also wish to consult with your financial, legal and/or tax advisor before completing this form.
- *If a change of Account Owner or Beneficiary is requested*, and the new account does not yet exist, the new account may be established online, or by calling the Plan to obtain a paper version of the **Account Application**, to submit along with this **Transfer Form**.
- A new account number will be assigned to the Account opened for the new Account Owner and/or Beneficiary, unless an Account already exists for that Account Owner/ Beneficiary and the existing number is provided below.
- A Notary is required in **Section 4** for changes of Account Owner for existing accounts. (If using this form to transfer a UTMA/UGMA to a former Minor, a Notary is not required).
- Print in capital letters using blue or black ink, sign and date the form and mail it to the Plan at the above address.

 **1.877.424.4377**
Monday to Friday 8 a.m. – 8 p.m. ET

 **www.path2college529.com**

Regular mailing address:
Path2College 529 Plan
P.O. Box 219293
Kansas City, MO 64121-9293

Overnight mailing address:
Path2College 529 Plan
1001 E 101st Terrace, Suite 200
Kansas City, MO 64131

Important: To avoid adverse tax consequences on the Account transfer, the new Beneficiary must be a Member of the Family of the former Beneficiary, as defined in the Path2College 529 Plan Description, and as described in Section 529 of the Internal Revenue Code. If the new Beneficiary is not an eligible family member, the change will be considered a Non-Qualified Withdrawal, which means that it may be subject to both state and federal income tax and an additional 10% federal penalty tax on any earnings. A change of Beneficiary is not permissible for custodial accounts opened under the Uniform Gifts to Minors Act (UGMA) or Uniform Transfers to Minors Act (UTMA).

To request assistance in completing this form call us at **1.877.424.4377**, Monday through Friday from 8 a.m. – 8 p.m. ET.

Transfer Instructions

- Change of Account Owner**
(Same Beneficiary)
- Change of Account Owner and
Change of Beneficiary** *(Different Account
Owner and Different Beneficiary)*
- Change of Beneficiary**
(Same Account Owner)

1. Current Account Information

<input type="text"/>	—	<input type="text"/>	<input type="text"/>
Account Number <i>(Required)</i>		Account Owner Social Security Number <i>(Last four digits Required)</i>	
<input type="text"/>			<input type="text"/>
Account Owner <i>(First name) (Required)</i>			<i>(M.I.)</i>
<input type="text"/>			
Account Owner <i>(Last name) (Required)</i>			
<input type="text"/>			<input type="text"/>
Beneficiary <i>(First name) (Required)</i>			<i>(M.I.)</i>
<input type="text"/>			
Beneficiary <i>(Last name) (Required)</i>			
<input type="text"/>	—	<input type="text"/>	<input type="text"/>
Beneficiary Social Security Number <i>(Last four digits Required)</i>		Telephone Number	



2. Receiving Account

Account Number (Required) (If account is already established)

Account Owner Social Security Number (Last four digits Required)

Account Owner (First name) (Required) (M.I.)

Account Owner (Last name) (Required)

Beneficiary (First name) (Required) (M.I.)

Beneficiary (Last name) (Required)

Beneficiary Social Security Number (Last four digits Required)

Telephone Number

3. Transfer Amount (Check and complete Section 3A or 3B.)

- A. Entire balance. Once the transfer is completed, the original Account will be closed.
B. Partial balance. The Path2College 529 Plan will keep the Account for the current Beneficiary open. The dollar amount you specify below will be transferred to the Account for the receiving Beneficiary identified in Section 2.

Table with 4 columns: Name of Investment Portfolio, Dollar amount (For partial amounts.), OR, Total balance (Check if applicable.).

- C. Transfer type. If an option is not selected below, the transfer amount will be allocated according to the receiving Account's existing allocation for future contributions.

Check one.

- I want to transfer the assets in-kind. (An "in-kind" transfer will move the selected assets over to the receiving account without a change in the currently held investment allocation(s).)
I want to transfer and allocate the assets according to the receiving Beneficiary's current allocations for future contributions. (By selecting this option, the current investments will be liquidated, and the funds will be deposited into the Receiving Beneficiary's Account according to the allocation for future contributions on the new Beneficiary's Account.) This is where the transfer is going to different investment portfolios.

