

DO NOT STAPLE



College Savings Iowa[®] Incoming Rollover Form

- Complete this form to initiate a direct rollover from another qualified 529 plan or an education savings account to an existing account in the College Savings Iowa 529 Plan. **Important:** If you have not established an account, you must also complete and enclose a Participant Agreement. If you are moving assets from more than one account, complete a separate form for each.
- **Important:** Please contact the financial institution currently holding the assets to find out whether it has any additional requirements for rolling over these assets.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at www.collegesavingsiowa.com. Or you can call us toll-free to order any form—or get assistance in filling out this one—at **888-672-9116** on business days from 8 a.m. to 9 p.m., Eastern time. Return this form and any other required documents in the enclosed postage-paid envelope, or mail to: **College Savings Iowa, P.O. Box 219219, Kansas City, MO 64121-9219**. For overnight delivery or registered mail, send to: **College Savings Iowa, 1001 E 101st Terrace, Suite 200, Kansas City, MO 64131**.

1. Type of Rollover *(Check one.)*

- Transfer from a qualified 529 college savings plan.
- Transfer from an education savings account (ESA).
- Transfer from the College Savings Iowa 529 Advisor Plan. **Note:** This option is considered one of your two permitted annual exchanges.

2. College Savings Iowa Account Information

Account Number *(If you have not established an account, also complete and enclose a Participant Agreement.)*

Name of Participant *(first, middle initial, last)*

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Daytime Telephone Number

Evening Telephone Number

Name of Beneficiary *(first, middle initial, last)*

Last Four Digits of Social Security Number,
Individual Taxpayer ID Number, or EIN

REMEMBER TO SIGN IN SECTION 5.



ROLL IN IOWA

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3. Current 529 Plan Manager or ESA Custodian (Financial Institution)

The account from which you are moving assets must have the same participant name and Social Security number, individual taxpayer identification number, or employer identification number as your College Savings Iowa account. Please contact your current 529 plan manager or ESA custodian for the proper mailing address.

Account Number of 529 Plan or ESA

Name of Financial Institution (where your current 529 or ESA account is held)

Full Name of 529 Plan (If assets are in an ESA, leave blank.)

Address

City

State

Zip

Contact Person

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Telephone Number

Check this box if the beneficiary on this account is different from the beneficiary indicated in Section 2.

4. Instructions to Your Current 529 Plan or ESA Custodian (Check one.)

The assets indicated below must all be held by the financial institution indicated in Section 3. If moving assets from more than one institution, fill out a separate form for each. Your rollover proceeds will be invested according to the allocation instructions on file at the time the assets are received. If you have not established an account, they will be invested according to your investment selection on the Participant Agreement. (Check one.)

A. Roll over all of the assets in my account to my College Savings Iowa account. (To list more than two accounts, use a separate sheet.)

Account Number of 529 Plan or ESA

\$

Estimated Account Value

Account Number of 529 Plan or ESA

\$

Estimated Account Value

B. Roll over a portion of the assets, as directed below, to my College Savings Iowa account. (To list more than three accounts, use a separate sheet.)

Account Number of 529 Plan or ESA

Name of Investment

\$

Dollar Amount

Account Number of 529 Plan or ESA

Name of Investment

\$

Dollar Amount

Account Number of 529 Plan or ESA

Name of Investment

\$

Dollar Amount

5. Signature—YOU MUST SIGN BELOW

Check with your current 529 plan manager or ESA custodian to determine if a signature guarantee—that is, underwritten certification that your signature is genuine—is required below to process this rollover. The lack of a required signature guarantee could delay this rollover.

If your current 529 plan manager or ESA custodian requires a signature guarantee, do not sign below until you are in the presence of the authorized officer of a bank, broker, or other qualified financial institution. The guaranteeing institution is financially responsible if the signature is not genuine. A notary public cannot provide a signature guarantee, nor can you guarantee your own signature.

I certify that I have received and read the College Savings Iowa 529 Plan Program Description and Privacy Policies and understand the rules and regulations governing direct rollovers from other 529 plans and ESAs. I understand that IRS regulations permit only one direct or indirect rollover for the same beneficiary in a 12-month period for 529 accounts.

➤

Signature of Participant

/ /

Date (month, day, year)

Signature Guarantee—IF APPLICABLE

➤

Signature of Guarantor

Title/Name of Institution

/ /

Date (month, day, year)

Authorized Officer to Place Stamp Here

6. Authorization and Acceptance *(College Savings Iowa will complete this section.)*

College Savings Iowa hereby agrees to accept the rollover described herein and upon receipt will deposit the proceeds into the account established on behalf of the participant named in **Section 2** herein.



Authorized Signature, College Savings Iowa

Instructions to Custodian

Send redemption proceeds by check to: College Savings Iowa, P.O. Box 219219, Kansas City, MO 64121-9219. **Make the check payable to College Savings Iowa. Include the participant’s account number on the check, and enclose a statement from the distributing financial institution detailing a breakdown of contributions and earnings in your previous 529 plan account or ESA.**

