

October 22, 2015

Cindy Lam-Yee
Ascensus Broker Dealer Services, Inc.
95 Wells Avenue, Suite 160
Newton MA 02459

Reference: **FX2015-1020-0137/E**

Org Id :36478

REVIEW LETTER

1. College Choice Advisor Ugift (#CSINA_MLR_00026A 1015)
Rule: MSRB
2 pages

Fee: \$600

Total Fee: \$600

Attention: Cindy Lam-Yee

The material submitted appears consistent with applicable standards.

Reviewed by,

Bradley G. Raymond
Senior Analyst

jb

On September 28, FINRA migrated the AREF system to a new platform that will allow firms to submit advertising-related files through a wide variety of formats and to submit larger files. For more details about the system, please see the [Advertising Regulation Electronic Files](#) page.

NOTE: This review is limited to the communication that was filed. We assume that the communication does not omit material facts, contain statements that are not factual, or offer opinions that do not have a reasonable basis. This communication may be described as “Reviewed by FINRA” or “FINRA Reviewed”; however, there must be no statement or implication that this communication has been approved by FINRA.



Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.