



## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

April 12, 2023

Ms. Cindy Lam-Yee  
Ascensus Broker Dealer Services, Inc.  
95 Wells Avenue, Suite 160  
Newton MA 02459

Reference: **FX2023-0411-0090/E**  
Link Reference : FR2018-1113-0171

Org Id: 36478

1. INA Slimline (#1039531A\_ES\_INA 1222)  
Rule: MSRB  
2 Pages

Total Fee: \$600

Dear Ms. Lam-Yee,

The communication submitted appears consistent with applicable standards.

Reviewed by,

James M. Allen  
Principal Analyst

jb

*Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.*

**NOTE:** *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*