



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

July 21, 2025

Cindy Lam-Yee
ASCENSUS BROKER DEALER SERVICES, LLC

Reference: **FR2025-0709-0063/E**
Link Reference : FX2023-0411-0090

Org Id: 36478

1. INA Employer Slimline (07/2025) (1665886-GOV-1665887)
Rule: MSRB
4 Pages

Total Fee: \$300

Dear Cindy Lam-Yee,

The communication submitted appears consistent with applicable standards. However, we have the following comment:

We have reviewed the information on page three with the understanding that when the brochure is made available to investors, the information will be populated with the advisor's or representative's contact information.

Reviewed by,

Michelle Malawer
Senior Analyst

Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*