

ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

February 19, 2021

Ms. Cindy Lam-Yee
Ascensus Broker Dealer Services, Inc.
95 Wells Avenue, Suite 160
Newton MA 02459

Reference: **FR2021-0113-0112/E**

Org Id: 36478

1. INA CCAvisor powerpoint (#340977-ES-INA-Prospect PPT Template)
Rule: MSRB
31 Pages
Total Fee: \$335

Dear Ms. Lam-Yee,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Bradley G. Raymond
Associate Principal Analyst

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Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the*



communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.