## CollegeChoice 529 Direct Savings Plan

## **Direct Rollover Out to Roth IRA Form**



- Complete this form to initiate a direct rollover of assets from your CollegeChoice 529 Direct Savings Plan ("CollegeChoice 529") Account to an existing Roth IRA account established for the benefit of the Beneficiary.
- The Beneficiary in **Section 1** will be the tax responsible party who will receive the IRS Form 1099-Q.
- Your rollover will be processed out of your CollegeChoice 529 Direct Savings Plan Account according to the instructions you provide in **Section 3**.
- Please review **Section 4** to determine whether or not your assets are eligible for rollover to a Roth IRA.
- Please consult with your Roth IRA provider to determine whether there are additional requirements before submitting this form.
- Complete a separate form for each CollegeChoice 529 Account.
- Complete all sections in blue or black ink and print in capital letters. Be sure to sign and date this form.

Terms used in the Form not otherwise capitalized have the same meaning as those terms in the CollegeChoice 529 Direct Savings Plan Disclosure Booklet ("Disclosure Booklet"). Forms can be downloaded from our website at

www.collegechoicedirect.com, or you can call us to order any form — or

request assistance in completing this form — at 866-485-9415 any business day from 8 a.m. - 8 p.m. Eastern time.

866-485-9415

8 a.m. to 8 p.m. Eastern time

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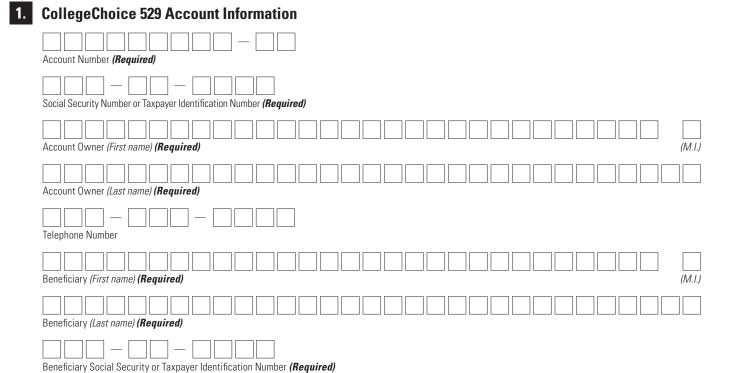
www.collegechoicedirect.com

Regular mailing address:

CollegeChoice 529 Direct Savings Plan PO Box 219418 Kansas City, MO 64121

Overnight mailing address:

CollegeChoice 529 Direct Savings Plan 1001 E 101st Terrace, Suite 200 Kansas City, MO 64131





# DO NOT STAPLE

the Beneficiary of your CollegeChoice 529 Account. Pleas mailing address.			ntification number as stodian for the proper
Account Number of Roth IRA			
Name of Receiving Roth IRA Manager or Custodian (Usually a Financial I	Institution)		
Address of Receiving Roth IRA Manager or Custodian			
City	State Zip Code		
Telephone Number			
Instructions to CollegeChoice 529			
The assets described below will be moved from your Collection conditions indicated in <b>Section 2</b> .	geChoice 529 Account to the Roth IRA acc	ount at the	Financial Institution
<ul> <li>The value of the assets being rolled over to the Roth IRA the Beneficiary for all individual retirement plans mainta</li> </ul>		for the taxa	able year applicable to
Check one.			
A Poll over all of the assets in my College Chairs	529 Account to the Roth IRA indicate	d in Sectio	n 2
A. Roll over all of the assets in my CollegeChoice		000	
Roll over all of the assets in my College Choice     Roll over a portion of the assets in my College amount identified below will be taken from ea	Choice 529 Account to the Roth IRA in	dicated in	
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## 4. SIGNATURE — YOU MUST SIGN BELOW

By signing below, I certify that the information contained in this form, and in any required documentation, is true, complete and correct.

- I understand that the IRS may issue guidance that could impact a rollover from my CollegeChoice 529 Account to a Roth IRA. I also
  understand that additional restrictions may apply under federal Roth IRA rules and guidance. Please consult a financial professional
  or tax advisor regarding the applicability of these rollovers to your personal situation.
- I certify that I have read, understand, consent, and agree to all of the terms and conditions of the Disclosure Booklet, and understand the rules and regulations governing rollover contributions to Roth IRAs from 529 plans, and that the rollover I am requesting meets all of the requirements and conditions required for an eligible rollover of assets to Roth IRAs from 529 plans.
- I understand that my CollegeChoice 529 Account must be open for 15 or more years in order to qualify for a rollover to a Roth IRA.
- I understand that IRS regulations permit a lifetime maximum amount of \$35,000 per Beneficiary to be rolled over from 529 accounts to Roth IRAs.
- I understand that 529 contributions and associated earnings must be in my CollegeChoice 529 Account for more than 5 years in order to qualify for a rollover to a Roth IRA.
- I understand that I am responsible for tracking and documenting the length of time my CollegeChoice 529 Account has been open and the amount of assets in my Account eligible to be rolled into a Roth IRA.
- I understand that 529 assets can only be rolled over into a Roth IRA maintained for the benefit of the Beneficiary of my CollegeChoice 529 Account.
- I understand that the Roth IRA contribution is subject to the Roth IRA contribution limit for the taxable year applicable to the Beneficiary for all individual retirement plans maintained for the benefit of the Beneficiary.
- If I am an Indiana taxpayer, I understand that a rollover from my CollegeChoice 529 Account is subject to recapture of the Indiana state income tax credit claimed by contributors in prior tax years.

SIGNATURE	
Signature of Account Owner	Date (mm-dd-yyyy)