

# Indiana529

Direct Savings Plan



**Meet your Indiana529 source for information, events, and much more!**

**Phillip Waddles**

Phillip Waddles is the Senior Institutional Relationship Manager for the Indiana529 Direct Savings Plan. His keen understanding of 529 plans in general, and Indiana529 in particular, make him a valuable resource for spreading the word about the importance of education savings.

Phillip brings passion, excitement, and commitment to the topic of saving for education, and can inspire any audience to take action to prepare for it.

Phillip has vast experience in working with organizations and individuals:

- Over 25 years of experience in financial services
- Educational background: Masters of Business Administration (MBA) from Indiana State University, Bachelor of Arts (BA) Public Relations/Business Management from Pittsburg State University
- FINRA Series 6 and 63 licenses

Mr. Waddles is a registered representative of Ascensus Broker Dealer Services LLC, 877-529-2980, 95 Wells Ave, Suite 160, Newton MA (member FINRA/SIPC) and is not employed by the State of Indiana.

**Please see reverse for more details.**

**Phillip is available to attend your in-person and virtual events. Here are a few examples of how he can help support your efforts at work and in the community:**

### **For employers**

- Discussing the benefits of payroll direct deposit\*
- Assisting HR/Payroll departments with initiating payroll direct deposit
- Leading seminars for employees on saving for education and the benefits of Indiana529
- Staffing benefit fairs
- Providing educational materials for staff and employees

### **For community leaders**

- Leading workshops on saving for education
- Speaking at PTA/PTO meetings
- Staffing a table at a community fair to spread the word about the importance of saving for education

**To schedule a time to meet Phillip, visit his [bookings link](#) and set up a 30-minute call at your convenience. You can also send an email to [Indianaemployer@ascensus.com](mailto:Indianaemployer@ascensus.com) or call 1-866-485-9383.**

\*A plan of regular investment cannot assure a profit or protect against a loss in a declining market.

For more information about the Indiana529 Direct Savings Plan (Indiana529 Direct), call 1-866-485-9415 or visit [www.Indiana529direct.com](http://www.Indiana529direct.com) to obtain a Disclosure Booklet, which includes investment objectives, risks, charges, expenses, and other important information; read and consider it carefully before investing.

Before you invest, consider whether your or the beneficiary's home state offers any state tax or other state benefits such as financial aid, scholarship funds, and protection from creditors that are only available for investments in that state's qualified tuition program.

Indiana529 Direct is administered by the Indiana Education Savings Authority (Authority). Ascensus Broker Dealer Services, LLC, the program manager, and its affiliates, have overall responsibility for the day-to-day operations, including investment advisory, recordkeeping and administrative services, and marketing. The Indiana529 Direct Portfolios invest in: (i) mutual funds; (ii) a stable value account held in trust by the Authority at Vanguard; and/or (iii) an FDIC-insured omnibus savings account held in trust by the Authority at NexBank. Except for the Savings Portfolio, investments in Indiana529 are not insured by the FDIC. Units of the Portfolios are municipal fund securities and the value of units will vary with market conditions.

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