Indiana529 Direct Savings Plan **Trustee Certification**

Indiana529

Direct Savings Plan

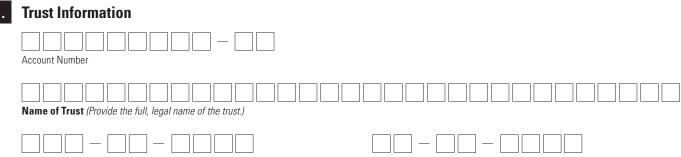
- Use this form to identify trustees when a trust Account is established with the Indiana529 Direct Savings Plan (Indiana529), when the identity and/or number of trustees has changed, or when the trustees aren't identified in the registration of the Account(s) identified in Section 1 below. All continuing and new trustees must sign in Section 4 and have their signatures notarized.
- If you open a new trust Account, you must also complete an Enrollment Form and attach a copy of the pages of the trust that show the name of the trust, the trust date, and a listing of all trustees and their signatures.
- For assistance in determining the conditions of your trust or the trust's authority, please consult your legal counsel. We will not provide legal advice with regard to your trust.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to ٠ the address below. Do not staple.

Terms used in this form not otherwise defined have the same meaning as those terms in the Indiana529 Direct Disclosure Booklet (Disclosure Booklet). Forms can be downloaded from our website at www.indiana529direct.com, or you can call us to order any form — or request assistance in completing this form — at 866-485-9415 any business day from 8 a.m. - 8 p.m. E.T.

> Return this form and any other required documents to: Indiana529 Direct Savings Plan P.O. Box 219418 Kansas City, MO 64121

For overnight delivery or registered mail, send to:

Indiana529 Direct Savings Plan 1001 E 101st Terrace, Suite 200 Kansas City, MO 64131



Trust Tax ID Number





1

2. New Trustee Information

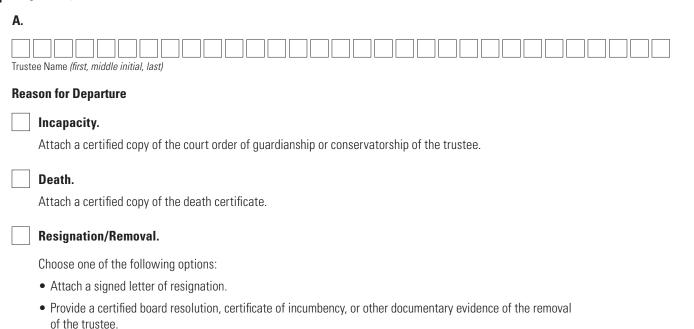
Important: Complete for all new trustees. Completing this section will add new trustees if a trust Account is being established or new trustees are being added to an existing Account. Unless removed in **Section 3**, all current trustees on record with Indiana529 will remain.

If you are appointing a corporation or other business entity as trustee, you must attach an Organization Resolution Form dated within the last 60 days. If you need more space to list additional new trustees, please make a copy of this page.

Trustee Name

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City																			St	ate		 Zip] —	-		

3. Departing Trustee Information (if applicable)



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Trustee Name (first, middle initial, last)		

Reason for Departure

Incapacity.

Attach a certified copy of the court order of guardianship or conservatorship of the trustee.

Attach a certified copy of the death certificate.

Resignation/Removal.

Choose one of the following options:

- Attach a signed letter of resignation.
- Provide a certified board resolution, certificate of incumbency, or other documentary evidence of the removal of the trustee.

4. Certification of All Trustees

All trustees (continuing and new) of the trust certify by signing below that:

- The trust is valid and in full force and effect as of the date of this certification; the trustees have full authority under the trust document and applicable law to enter into financial transactions on behalf of the trust, including the purchase, sale, exchange, transfer, and redemption of Account assets; and the trustees may issue general instructions as well as execute and deliver documents on behalf of the trust.
- The trustees listed and signing this form are all currently serving or are new trustees.
 - Number of trustee signatures required to take any written action on behalf of the trust. If a specific number isn't provided, the signature of any one trustee will be accepted for written transactions and transaction requests may be made by any single trustee.

The trustees acknowledge that Indiana529 hasn't reviewed the trust document and understand that Indiana529 is relying on the statements made in this certification.

The trustees agree to inform Indiana529 of any amendment of the trust that would impact the information in this certification.

The current and new trustees of the trust named in **Section 1** hereby declare that all statements made in this certification are true and correct to the best of each trustee's knowledge, that all actions taken and instructions given by any of the trustees are within such trustee's authority under the trust document and applicable law, and agree that this certification is binding upon the trust, its beneficiaries, and all future trustees. Each trustee named below agrees, on behalf of the trust, to indemnify and hold the Plan Officials and any third party, harmless from and against all losses, claims, and expenses (including attorney's fees) of any kind incurred by Indiana529 for relying in good faith upon this certification.

All continuing and new trustees must sign, date, and have their signatures notarized. There are two trustee signature sections that follow. If additional signatures are required, provide them on a copy of this page.

Name of Trustee (first, middle initia	al, last)		
Signature of Trustee			Date (month, day, year)
Notarization/Affidavit of T	rustee		
(Your signature must be notarized. W	'e can't accept a signature guarantee	in place of a notary's seal.)	
STATE OF)		
) ss.:		
COUNTY OF) (if applicable)		
This document was acknowled	ged before me on	<i>(date)</i> by	(name of trustee).
Signature of Notary Public			Date <i>(month, day, year)</i>
Notary Public's Name <i>(first, middle in</i>]		
My commission expires:			
			Notary to Place Seal Here
Date (month, day, year)			

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Name of Trustee <i>(first, middle initial, last)</i>		
Signature of Trustee		Date (month, day, year)
Notarization/Affidavit of Trustee		
(Your signature must be notarized. We can't accept a signature guarante STATE OF)) ss.:	ee in place of a notary's seal.)	
COUNTY OF) (<i>if applicable</i>) This document was acknowledged before me on	<i>(date)</i> by	(name of trustee).
Signature of Notary Public		Date (month, day, year)
Signature of Notary Public		

Reminders

If you're setting up a new trust Account:

- Attach this form to the Enrollment Form when selecting a trust registration.
- Include copies of the first and last pages of the trust agreement that contain the name and date of the trust, as well as the names and signatures of the trustees.

If a trustee is:

- Incapacitated. Attach a certified copy of the court order of guardianship or conservatorship of the trustee.
- **Deceased.** Attach a certified copy of the death certificate. If the deceased trustee's Social Security number is the tax ID number for the trust Account, you must also update the trust tax ID number.
- **Resigning or being removed.** Attach a signed letter of resignation, a certified board resolution, certificate of incumbency, or other documentary evidence of the removal of the trustee.
- A corporation or other business entity. Attach an Organization Resolution Form dated within the last 60 days.

Allow two weeks for this Trustee Certification to be processed and for the trustees to receive confirmation of this request by mail.

DO NOT STAPLE