KY Saves 529

Registered Investment Advisor Authorization



- Complete this form to designate or change a Registered Investment Advisor (RIA) on your KY Saves 529 account (Account).
- You may designate only one level of authorization.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address listed. Do not staple.

Forms can be downloaded from our website at **kysaves.com**, or you can call us to order any form—or request assistance in completing this form—at **1.855.840.4855**, Monday through Friday from 8 a.m. to 8 p.m. ET.

=	1.855.840.4855 Monday to Friday 8 a.m 8 p.m. ET
k	kysaves.com
~ @	clientservice@kysaves.com
FAX	1.617.559.8939
KY Sa P.O. E	ar mailing address: aves 529 Box 56014 on, MA 02205-6014
KY Sa	ight mailing address: aves 529 ells Ave, Suite 155

Newton, MA 02459

1.	Account	Owner	Information
	Account	OVVIIGI	minumation

Social Security Number	
Account Number(s) (To list more than six Accounts, use a separate sheet.).	
Name of Account Owner	
Telephone Number	



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4. SIGNATURE — YOU MUST SIGN BELOW

BY SIGNING BELOW, I HEREBY ACKNOWLEDGE MY PRIOR APPOINTMENT OF THE INDIVIDUAL LISTED IN **SECTION 2** OF THIS FORM AS MY REGISTERED INVESTMENT ADVISOR (RIA). I ACKNOWLEDGE THAT THE DESIGNATED RIA HAS BEEN EMPOWERED TO ACT ON MY BEHALF, WITH RESPECT TO MY KY SAVES 529 ACCOUNTS, UNDER A SEPARATE AGREEMENT WITH THE LISTED BROKER/DEALER FIRM. SUCH AGREEMENT AUTHORIZES MY RIA TO ACT ON MY BEHALF TO THE EXTENT OF THE AUTHORIZATION LEVEL INDICATED IN **SECTION 3** OF THIS FORM. MY RIA'S AUTHORITY DOES NOT INCLUDE TRANSFERRING OWNERSHIP OF UNITS, CHANGING THE DESIGNATED BENEFICIARY, SUCCESSOR ACCOUNT OWNER OR SUCCESSOR RESPONSIBLE INDIVIDUAL OR ESTABLISHING OR REESTABLISHING CONVENIENCE SERVICES.

and understand the rules and regulations governing Kentucky Educational Sav	0 1
SIGNATURE Signature of Account Owner	