

# MOST—Missouri's 529 Education Plan

## Trustee Certification



- Use this form to identify trustees when a trust account is established with MOST—Missouri's 529 Education Plan, when the identity and/or number of trustees has changed, or when the trustees are not identified in the registration of the MOST 529 Plan account(s) identified in **Section 1** below. All trustees must sign in **Section 4**.
- If you open a new trust account, you must also complete an Enrollment Application and attach a copy of the pages of the trust that show the name of the trust, the trust date, and a listing of all trustees and their signatures.
- For assistance in determining the conditions of your trust or the trust's authority, consult legal counsel. MOST 529 will not review or interpret trust documents.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at **www.missourimost.org**. Or you can call us toll-free to order any form at **888-414-MOST** (888-414-6678) on business days from 7 a.m. to 8 p.m., Central time. Return this form and any other required documents in the enclosed postage-paid envelope, or mail to: **MOST—Missouri's 529 Education Plan, P.O. Box 219212, Kansas City, MO 64121-9212**. For overnight delivery or registered mail, send to: **MOST—Missouri's 529 Education Plan, 1001 E 101st Terrace, Suite 200, Kansas City, MO 64131**.

### 1. Trust Information

#### Account Numbers

To list more than three accounts, use a separate sheet.

Account Number

Account Number

Account Number

**Name of Trust** *(Provide the full, legal name of the trust.)*

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Social Security Number or Other Taxpayer ID Number

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Date of Trust Agreement *(month, day, year)*



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## 2. New Trustee Information

**Important:** Please complete for all new trustees. Completing this section will add new trustees if a trust account is being established or new trustees are being added to an existing account. Unless removed in **Section 3**, all current trustees on MOST—Missouri's 529 Education Plan's records will remain.

If you are appointing a corporation or other business entity as trustee, you must attach a MOST 529 Organization Resolution Form dated within the last 60 days. If you need more space to list additional new trustees, photocopy this page.

### Trustee Name

**Name of Individual** (*first, middle initial, last*) **or Organization**

--

Social Security Number or Other Taxpayer ID Number

--

Daytime Telephone Number

☐

Check this box if you want to receive duplicate statements.

Street Address

City

State

Zip

### Trustee Name

**Name of Individual** (*first, middle initial, last*) **or Organization**

--

Social Security Number or Other Taxpayer ID Number

--

Daytime Telephone Number

☐

Check this box if you want to receive duplicate statements.

Street Address

City

State

Zip

**3. Departing Trustee Information** *(if applicable)***A.****Trustee Name** *(first, middle initial, last)***Reason for Departure**☐ **Incapacity.**

Attach a physician's certification of incapacity on physician's letterhead dated within 30 days, or a certified copy of the court order of guardianship or conservatorship of the trustee.

☐ **Death.**

Attach a certified copy of his or her death certificate.

☐ **Resignation/Removal.**

Choose one of the following options:

- ☐ Attach a signed letter of resignation.
- ☐ Provide a certified board resolution, Certificate of Appointment and Incumbency, or other documentary evidence of the removal of the trustee.

**B.****Trustee Name** *(first, middle initial, last)***Reason for Departure**☐ **Incapacity.**

Attach a physician's certification of incapacity on physician's letterhead dated within 30 days, or a certified copy of the court order of guardianship or conservatorship of the trustee.

☐ **Death.**

Attach a certified copy of his or her death certificate.

☐ **Resignation/Removal.**

Choose one of the following options:

- ☐ Attach a signed letter of resignation.
- ☐ Provide a certified board resolution, Certificate of Appointment and Incumbency, or other documentary evidence of the removal of the trustee.

#### 4. Certification of All Trustees

All trustees (continuing and new) of the trust certify by signing below that:

- The trust is valid and in full force and effect as of the date of this certification; the trustees have full authority under the trust document and applicable law to enter into investment portfolio transactions on behalf of the trust, including the purchase, sale, exchange, transfer, and redemption of 529 assets; and the trustees may issue general instructions as well as execute and deliver documents on behalf of the trust.
- The trustees listed and signing this form are all currently serving or are new trustees.

**Number of trustee signatures required to take any written action on behalf of the trust.** If a specific number is not provided, the signature of any one trustee will be accepted for written transactions. Telephone requests may be made by any single trustee.

The trustees acknowledge that MOST—Missouri's 529 Education Plan has not reviewed the trust document and understand that MOST 529 is relying on the statements made in this certification.

The trustees agree to inform MOST 529 of any amendment of the trust that would impact the information in this certification.

The current and new trustees of the trust named in **Section 1** hereby declare that all statements made in this certification are true and correct to the best of each trustee's knowledge, that all actions taken and instructions given by any of the trustees are within such trustee's authority under the trust document and applicable law, and agree that this certification is binding upon the trust, its beneficiaries, and all future trustees. Each trustee named below agrees, on behalf of the trust, to indemnify and hold MOST—Missouri's 529 Education Plan; Ascensus College Savings Recordkeeping Services, LLC; The State of Missouri and any governmental agency; The Vanguard Group, Inc., Vanguard Marketing Corporation; and their respective affiliates, officers, agents, or employees; each of the investment company members of The Vanguard Group and their respective officers, employees, and agents; and any third party, harmless from and against all losses, claims, and expenses (including attorney's fees) of any kind incurred by MOST 529 for relying in good faith upon this certification.

- If I am a U.S. citizen, a U.S. resident alien, or a representative of a U.S. entity, I certify under penalty of perjury that the taxpayer identification number I have given on this form as mine is correct (or I am waiting for a number to be issued to me).

All continuing and new trustees must sign, date, and have their signatures notarized. There are two trustee signature sections that follow. If additional signatures are required, provide them on a photocopy of this page.

**Name of Trustee**

➤

**Signature of Trustee**

/  /

Date (month, day, year)

#### Notarization/Affidavit of Trustee

(Your signature must be notarized. We cannot accept a signature guarantee in place of a notary's seal.)

STATE OF \_\_\_\_\_ )

) ss.:

COUNTY OF \_\_\_\_\_ (if applicable)

This document was acknowledged before me on \_\_\_\_\_ (date) by \_\_\_\_\_ (name of account owner).

➤

Signature of Notary Public

/  /

Date (month, day, year)

Notary Public's Name (first, middle initial, last)

My commission expires:

/  /

Date (month, day, year)

**Notary to Place Seal Here**

**Name of Trustee**

➤

**Signature of Trustee**

/  /

Date (month, day, year)

### Notarization/Affidavit of Trustee

(Your signature must be notarized. We cannot accept a signature guarantee in place of a notary's seal.)

STATE OF

) ss.:

COUNTY OF  (if applicable)

This document was acknowledged before me on  (date) by  (name of account owner).

➤

Signature of Notary Public

/  /

Date (month, day, year)

Notary Public's Name (first, middle initial, last)

My commission expires:

/  /

Date (month, day, year)

**Notary to Place Seal Here**

### Reminders

#### If you're setting up a new trust account:

- **Attach this form** to the Enrollment Application when selecting a trust registration.
- **Include copies of the first and last pages of the trust agreement** that contain the name and date of the trust, as well as the names and signatures of the trustees.

#### If a trustee is:

- **Incapacitated.** Attach a physician's certification of incapacity on physician's letterhead dated within 30 days, or a certified copy of the court order of guardianship or conservatorship of the trustee.
- **Deceased.** Attach a certified copy of his or her death certificate. If the deceased trustee's Social Security number was the tax ID number for the trust account, you must also complete our Account Information Change Form.
- **Resigning or being removed.** Attach a signed letter of resignation, a certified board resolution, Certificate of Appointment and Incumbency, or other documentary evidence of the removal of the trustee.
- **A corporation or other business entity.** Attach a MOST 529 Organization Resolution Form dated within the last 60 days.

Allow two weeks for this Trustee Certification to be processed and for the trustees to receive confirmation of this request by mail.