## Achieve Montana

## **Direct Rollover Out to Roth IRA Form**



- Complete this form to initiate a direct rollover of assets from your Achieve Montana Account to an existing Roth IRA account established for the benefit of the Beneficiary.
- The Beneficiary in **Section 1** will be the tax responsible party who will receive the IRS Form 1099-Q.
- Your rollover will be processed out of your Achieve Montana Account according to the instructions you provide in Section 3.
- Please review Section 4 to determine whether or not your assets are eligible for rollover to a Roth IRA.
- Please consult with your Roth IRA provider to determine whether there are additional requirements before submitting this form.
- Complete a separate form for each Beneficiary's account.
- Complete all sections in blue or black ink and print in capital letters. Be sure to sign and date this form.
- Terms used in the Form not otherwise capitalized have the same meaning as those terms in the Achieve Montana Program Description ("Program Description").

Forms can be downloaded from our website at **achievemontana.com**, or you can call us to order any form—or request assistance in completing this form—at **1.877.486.9271** any business day from 7 a.m. to 6 p.m. Mountain Time.

Return this form and any other required documents to:

Achieve Montana P.O. Box 219448

Kansas City, MO 64121-9448

Beneficiary Social Security or Taxpayer Identification Number (Required)

Phone Number: 1.877.486.9271

Hours: 7 a.m. to 6 p.m. Mountain Time

Monday through Friday

Website: achievemontana.com

Achieve Montana Account Information
Account Number (Required)
Social Security Number or Taxpayer Identification Number (Required)
Account Owner (First name) (Required)  (M.I.)
Account Owner (Last name) (Required)
Telephone Number
Beneficiary (First name) (Required) (M.I.)
Beneficiary (Last name) (Required)



## 2. Roth IRA Manager or Custodian (Financial Institution)

the Beneficiary of your Achieve Montana Account. Please	•	ager or Custodian for the proper
mailing address.		
Account Number of Roth IRA		
Name of Receiving Roth IRA Manager or Custodian (Usually a Financial Inc.	estitution)	
Address of Receiving Roth IRA Manager or Custodian		
City	State Zip Code	
	State Zip Gode	
Telephone Number		
Instructions to Achieve Montana		
The assets described below will be moved from your Achiev indicated in <b>Section 2</b> .	ve Montana Account to the Roth IRA acco	unt at the Financial Institution
• The value of the assets being rolled over to the Roth IRA i the Beneficiary for all individual retirement plans maintain	•	for the taxable year applicable to
Check one.		
	na Account to the Roth IRA indicated	in Section 2.
Check one.  A. Roll over all of the assets in my Achieve Montain	na Account to the Roth IRA indicated	in Section 2.
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## 4. SIGNATURE — YOU MUST SIGN BELOW

By signing below, I certify that the information contained in this form, and in any required documentation, is true, complete and correct.

- I understand that the IRS may issue guidance that could impact a rollover from my Account to a Roth IRA. Additional restrictions may
  apply under federal Roth IRA rules and guidance. Please consult a financial professional or tax advisor regarding the applicability of
  these rollovers to your personal situation.
- I certify that I have read, understand, consent, and agree to all of the terms and conditions of the Program Description, and
  understand the rules and regulations governing rollover contributions to Roth IRAs from 529 plans, and that the rollover I am
  requesting meets all of the requirements and conditions required for an eligible rollover of assets to Roth IRAs from 529 plans.
- I understand that my Achieve Montana Account must be open for 15 or more years in order to qualify for a rollover to a Roth IRA.
- I understand that IRS regulations permit a lifetime maximum amount of \$35,000 per Beneficiary to be rolled over from 529 accounts to Roth IRAs.
- I understand that 529 contributions and associated earnings must be in my Account for more than 5 years in order to qualify for a rollover to a Roth IRA.
- I understand that I am responsible for tracking and documenting the length of time my Achieve Montana Account has been open and
  the amount of assets in my Account eligible to be rolled into a Roth IRA.
- I understand that 529 assets can only be rolled over into a Roth IRA maintained for the benefit of the Beneficiary of my Achieve Montana Account.
- I understand that the Roth IRA contribution is subject to the Roth IRA contribution limit for the taxable year applicable to the Beneficiary for all individual retirement plans maintained for the benefit of the Beneficiary.

SIGNATURE	
Signature of Account Owner	Date (mm-dd-yyyy)