College SAVE Plan

Advisor Change/Exchange Form for Financial Intermediaries

College SAVE™

Bank of North Dakota's 529 Plan

THIS FORM IS INTENDED FOR FINANCIAL INTERMEDIARY USE ONLY.

- Use this form to add or remove a financial intermediary from the account. You will need to update the investment selections and allocations as a result of this transaction.
- Print clearly, preferably in capital letters and black ink. Mail the form to the address below. Do not staple.

Forms can be downloaded from our website at **www.collegesave4u.com**, or you can call us to order any form—or request assistance in completing this form—at **1.866.SAVE.529** (**1.866.728.3529**) any business day from 8 a.m. to 8 p.m. Eastern time.

Return this form and any other required documents to:

College SAVE

For overnight delivery or registered mail, send to:

College SAVE

P.O. Box 219781 920 Main Street, Suite 900 Kansas City, MO 64121-9781 Kansas City, MO 64105

Current Participant information
Account Number(s) (To list more than three Accounts, use a separate sheet.)
Name of Participant (first, middle initial, last)
Telephone Number //n eace we have a question about your Account

2. Financial Advisor information

- Complete this section only if you are adding, changing, or removing Financial Advisor information on your Account.
- If you are adding a Financial Advisor, the Units in your Account will be converted to Advisor Class Units. If you are removing a Financial Advisor, the Units in your Account will be converted to Direct Class Units. Please see the College SAVE Plan Disclosure Statement for details and a description of the fees associated with each Unit class.

Check one.						
Add	Change	If you are adding	or removing a	a financial advisor, ple	ease complete S e	ection 3.
Firm Name						
Financial Advisor Name	(first, middle initial, last)					
Email Address						
Branch Number (if applie	cable) Adviso	r ID Number		Daytime Telephone Numb]	
Branch Street Address						
City				State Zin Code		-

3. Exchange Instructions for Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and where you want the assets invested. (You must allocate at least 5% of your exchange amount to each investment that you choose. Use whole percentages only.)
- See the College SAVE Plan Disclosure Statement (available at www.collegesave4u.com) for complete information on the investment you're considering.
- This exchange does not affect the allocation of your future contributions.
- Important: You may choose no more than five investment options for your account.
- Remember: Federal law allows Participants to make two exchanges each calendar year.
 I am adding a financial advisor (advisor class shares)

I am removing a financial advisor (direct class shares)

You must make a selection if **Section 2** is complete.

Exchange FR	ЮМ	Investment Option	Exchange TO
(Check "All" or indicate a percentage for each investment option. Indicate your current investment that you want to move to a new investment.)			(Indicate the percentage of your total exchange amount that you want invested in each option.)
All	Percentage	Age-Based Options	
OR	<u></u> %	Conservative Age-Based Option	
OR	%	Moderate Age-Based Option	<u></u> %
OR	%	Aggressive Age-Based Option	<u> </u>
		Individual Portfolios	
OR	<u></u> %	Aggressive Growth Portfolio	
OR	<u></u> %	Growth Portfolio	
OR	<u></u> %	Moderate Growth Portfolio	<u> </u>
OR	<u></u> %	Conservative Growth Portfolio	<u></u> %
OR	<u></u> %	Income Portfolio	<u> </u>
OR	<u></u> %	Interest Accumulation Portfolio	
		TOTAL	1 0 0 %

4. Allocation Instructions for Future Contributions

- Your future contributions, which can be updated at any time, will be invested in the options you select until you change them.
- Before choosing your investment, see the College SAVE Plan Disclosure Statement (available at **www.collegesave4u.com**) for complete information about the investment offered.

You may allocate your contribution among a maxim	num of five investment options.
You must allocate at least 5% of your contribution	is to each investment that you choose. Use whole percentages only.
Note: This change applies only to the allocation of you	our future contributions; it will not affect the assets currently held in your account.
I am adding a financial advisor (advisor class s	shares)
I am removing a financial advisor (direct class	shares)
You must make a selection if Section 2 is complet	e.
Age-Based Options (The asset allocation of money more conservative as the designated beneficiary app.	invested in the Age-Based Options is automatically adjusted over time to become roaches college age.)
Conservative Age-Based Option	
Moderate Age-Based Option	
Aggressive Age-Based Option	
Individual Portfolios (The assets will remain in the	e portfolio you select until you exchange them to a new investment option.)
Aggressive Growth Portfolio	
Growth Portfolio	
Moderate Growth Portfolio	
Conservative Growth Portfolio	
Income Portfolio	
Interest Accumulation Portfolio	
TOTAL	100%
Signature — YOU MUST SIGN BELOW	
Services, LLC. (as defined in the Disclosure Statement transactions on my behalf. I agree to hold harmless	/Exchange Form I am authorizing Ascensus College Savings Recordkeeping <i>ent)</i> to allow my Financial Advisor to have access to my Account and to perform a College SAVE, the State of North Dakota, Ascensus College Savings Recordkeeping pective agents, employees, and affiliates from any losses I incur as a result of the
I certify that the information provided herein is true agree to all the terms and conditions of the Disclos	e and complete in all respects, and that I have read and understand, consent, and cure Statement.
SIGNATURE	
Signature of Participant	Date (mm/dd/yyyy)