

# College SAVE Plan Exchange/Future Contribution (Allocation) Form

# College SAVE™

Bank of North Dakota's 529 Plan

**THIS FORM IS INTENDED FOR INDIVIDUAL INVESTOR USE ONLY.**

- Print clearly, preferably in capital letters and black ink.
- You can easily exchange existing assets or change your future contribution online at [www.collegesave4u.com](http://www.collegesave4u.com).
- Complete **Section 2** to exchange existing assets to a new investment option. *(You can do this only twice in a calendar year.)*
- Complete **Section 3** to change your allocation instructions for future contributions.

Forms can be downloaded from our website at [www.collegesave4u.com](http://www.collegesave4u.com), or you can call us to order any form – or request assistance in completing this form at **1.866.SAVE.529 (866.728.3529)** any business day from 8 a.m. to 8 p.m. ET.

Return this form and any other required documents to:

**College SAVE**  
**P.O. Box 219781**  
**Kansas City, MO 64121-9781**

For overnight delivery or registered mail, send to:

**College SAVE**  
**920 Main Street, Suite 900**  
**Kansas City, MO 64105**

## 1. Account Information

Account Number

Name of Participant *(first, middle initial, last)*

Daytime Telephone Number

Evening Telephone Number

Name of Designated Beneficiary *(first, middle initial, last)*



\* N D E X C H A N G E \*

## 2. Exchange Instructions for Existing Assets

- For each investment option you hold and wish to exchange, tell us the percentage of assets you want moved and where you want the assets invested. *(You must allocate at least 5% of your exchange amount to each investment that you choose. Use whole percentages only.)*
- See the College SAVE Plan Disclosure Statement (available at [www.collegesave4u.com](http://www.collegesave4u.com)) for complete information on the investment you're considering.
- This exchange does not affect the allocation of your future contributions.
- **Important:** You may choose no more than five investment options for your account.
- **Remember:** Federal law allows Participants to make two exchanges each calendar year.

### Exchange FROM

*(Check "All" or indicate a percentage for each investment option. Indicate your current investment that you want to move to a new investment.)*

#### All                      Percentage

     **OR**       %

     **OR**       %

     **OR**       %

     **OR**       %

     **OR**       %

     **OR**       %

     **OR**       %

     **OR**       %

     **OR**       %

### Investment Option

#### Age-Based Options

Conservative Age-Based Option - Direct

Moderate Age-Based Option - Direct

Aggressive Age-Based Option - Direct

#### Individual Portfolios

Aggressive Growth Portfolio - Direct

Growth Portfolio - Direct

Moderate Growth Portfolio - Direct

Conservative Growth Portfolio - Direct

Income Portfolio - Direct

Interest Accumulation Portfolio - Direct

#### TOTAL

### Exchange TO

*(Indicate the percentage of your total exchange amount that you want invested in each option.)*

%

%

%

%

%

%

%

%

%

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**1 0 0** %

### 3. Allocation Instructions for Future Contributions

- Your future contributions, which can be updated at any time, will be invested in the options you select until you change them.
- Before choosing your investment, see the College SAVE Plan Disclosure Statement (available at [www.collegesave4u.com](http://www.collegesave4u.com)) for complete information about the investment offered.
- You may allocate your contribution among a maximum of five investment options.
- You must allocate at least 5% of your contributions to each investment that you choose. Use whole percentages only.

**Note:** This change applies only to the allocation of your future contributions; it will not affect the assets currently held in your account.

**Age-Based Options** *(The asset allocation of money invested in the Age-Based Options is automatically adjusted over time to become more conservative as the designated beneficiary approaches college age.)*

Conservative Age-Based Option - Direct    %

Moderate Age-Based Option - Direct    %

Aggressive Age-Based Option - Direct    %

**Individual Portfolios** *(The assets will remain in the portfolio you select until you exchange them to a new investment option.)*

Aggressive Growth Portfolio - Direct    %

Growth Portfolio - Direct    %

Moderate Growth Portfolio - Direct    %

Conservative Growth Portfolio - Direct    %

Income Portfolio - Direct    %

Interest Accumulation Portfolio - Direct    %

**TOTAL**    %

### 4. Signature – YOU MUST SIGN BELOW

I authorize the exchange of assets in my account to the investments I selected in **Section 2** and/or the allocation of my future contributions to the investments I selected in **Section 3**. I certify that I have read the College SAVE Plan Disclosure Statement and Participation Agreement.

SIGNATURE

Signature of Participant

-   -

Date (mm/dd/yyyy)

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