

3. Instructions for Direct Rollovers

- Please provide information about the current ABLE plan or 529 college savings plan from which the assets are being moved.
- We will contact the current plan or custodian to send the funds to us. Please ask the current plan or custodian if this request must be signature guaranteed and if so, complete **Section 6**.
- You may only own one ABLE account nationwide. Therefore, if you are moving assets from an account you own in another ABLE plan to an account in the Enable Plan, the account in the other ABLE plan must close.
- The rollover proceeds will be invested according to the standing allocation instructions on file at the time the assets are received. If you have not established an account, the assets will be invested according to what you choose on the **Enrollment Form**. Please contact the program manager or custodian of the account from which assets are being withdrawn for a proper mailing address.

Account Number of the current plan

Account Number of the current plan

Name of the current plan or custodian (Usually a financial institution)

Name of the current plan or custodian (Usually a financial institution)

Full Name of the current plan (If applicable)

Full Name of the current plan (If applicable)

Address

Address

City

City

State

State

Zip Code

Zip Code

Contact Person

Contact Person

Telephone Number

Telephone Number

Roll over all of the assets in the current plan to the Enable Savings Plan.

Current Account Number

Current Account Number

Estimated Account Value

Estimated Account Value

4. Instructions if assets have already been withdrawn from another ABLE plan or 529 college savings plan

Assets redeemed from another plan must be deposited within 60 days of the withdrawal. You must include a statement from the former plan certifying the breakdown of contributions and earnings of the amount transferred. Until such statement is provided, the Enable Savings Plan will treat the entire deposit as earnings.

Enclosed is a check made payable to the Enable Savings Plan for \$ Total Amount of Withdrawal

Total Amount of Withdrawal

Principal Amount of Withdrawal

Earnings Amount of Withdrawal

5. Signature—YOU MUST SIGN BELOW

- I certify that I have read and understand, consent, and agree to all the terms and conditions of the Enable Savings Plan Program Disclosure Statement as they relate to this incoming rollover request.
- By signing below, I authorize the Program Manager or its designee to roll over assets into the Enable Savings Plan according to the instructions above.
- If I am a person authorized to act on the account, I certify that I am authorized to act on behalf of the Account Owner in making this request and that this request is in the best interest of the Account Owner.
- By signing below I certify that there has not been a rollover from one ABLE plan to another ABLE plan for this Account Owner during the prior 12-month period.
- If rolling over assets from another qualified ABLE plan or 529 college savings plan account owned by someone other than me, to my Enable Plan account, I certify that the account in the other plan is owned by my relative that qualifies as a "Member of the Family".
- I further certify that if I have already withdrawn the rollover amount for deposit into an Enable Savings Plan account, this request is within 60 days of the withdrawal and the account in the other ABLE plan (if applicable) has been closed as I can only own one ABLE account nationwide.
- If I am requesting a direct rollover, by signing below I or my relative, authorize the current qualified ABLE plan or 529 college savings plan manager or custodian to liquidate the investment identified in **Section 3** and roll over the assets into the Enable Savings Plan account indicated in **Section 2** of this Form. I understand a signature guarantee may be required in **Section 6**.
- I understand that I cannot make additional contributions when the fair market value of my account in the Enable Savings Plan exceeds \$500,000.
- I understand that if I am rolling over assets from a 529 college savings plan that the dollar amount of the rollover cannot exceed the Enable Savings Plan Annual Contribution Limit.
- I understand that the program manager or custodian of the account from which assets are being withdrawn is responsible for providing the Enable Savings Plan with a statement certifying the breakdown of contributions and earnings of the amount transferred. I further understand that until such statement is provided, the Enable Savings Plan will treat the entire deposit as earnings.
- I understand that a rollover not meeting these conditions may result in the earnings portion of the deposit being considered a non-qualified withdrawal subject to federal income tax and an additional 10% federal tax, and may be subject to state or local income tax.

If the current qualified ABLE plan or 529 college savings plan manager or custodian of the account from which assets are being withdrawn requires a Medallion Signature Guarantee, do not sign here. Please go to Section 6.

SIGNATURE

Signature of Enable Account Owner or Authorized Individual

□□ — □□ — □□□□

Date (mm/dd/yyyy)

SIGNATURE

Signature of co-guardian or co-conservator (Only if applicable)

□□ — □□ — □□□□

Date (mm/dd/yyyy)

If you are requesting to roll assets into your Enable account from another qualified ABLE plan account not owned by you, that account owner from which assets are being withdrawn must sign below. If the current qualified ABLE plan manager or custodian of the account from which assets are being withdrawn requires a Medallion Signature Guarantee, do not sign here. Please go to Section 6.

SIGNATURE

Signature of Account Owner in other qualified ABLE plan or 529 college savings plan from which assets are being withdrawn

□□ — □□ — □□□□

Date (mm/dd/yyyy)

6. Medallion Signature Guarantee — IF APPLICABLE

(Only applicable if the current qualified ABLÉ plan or 529 college savings plan manager or custodian of the account from which the assets are being redeemed requires a Medallion Signature Guarantee - please check with your current qualified plan manager or custodian. The lack of a required Signature Guarantee could delay this rollover.)

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- **Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.**

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the Program Disclosure Statement.

SIGNATURE

Signature of Account Owner that owns the funds in the current ABLÉ plan

Signature Guarantor

Signature Guarantor

Title

Title

Name of Institution

Name of Institution

□□ — □□ — □□□□

Date (mm/dd/yyyy)

Authorized Officer to place stamp here

