

## **NEST Direct College Savings Plan**

# **Account Information Change Form**

- Use this form to change: your name, mailing address, phone number, email address, Successor Account Owner, or interested party information. You may also use this form to transfer assets to a new Account Owner.
- If you are changing your name, you must also provide a copy of an official document that changes your name certified within 60 days of this request. (i.e. Marriage Certificate, Divorce Decree, etc.)
- If you are changing the Account Owner of an existing Account, your signature must be Medallion Signature Guaranteed in **Section 9** by an authorized officer of a bank, broker, or other qualified financial institution, and the new Account Owner must include an **Enrollment Form** if an Account is not already established.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address listed.
   Do not staple.

Forms can be downloaded from our website at **www.NEST529Direct.com**, or you can call us to order any form — or request assistance in completing this form — at **1.888.993.3746** any business day from 8 a.m. to 8 p.m. Central time.

	<b>1.888.993.3746</b> 8 a.m. to 8 p.m. Central time M-F
k	www.NEST529Direct.com
<b>∑</b> @	clientservice@NEST529Direct.com
П	1 92 11

Regular mailing address:

NEST Direct College Savings Plan P.O. Box 30276 Omaha, NE 68103-1376

Overnight mailing address:

NEST Direct College Savings Plan 920 Main Street, Suite 900 Kansas City, MO 64105

1.	Current Account Owner information
	Account Number(s) (To list more than three Accounts, use a separate sheet.)
	Name of Account Owner (first, middle initial, last)
	Telephone Number (In case we have a question about your Account.)
2.	Information to update or change
	Account Owner—Section 3 or Section 4
	Successor Account Owner—Section 5
	Individual Authorized to Act—Section 6
	Interested Party—Section 7



# 3. Updated Account Owner information

NEST Direct College Savings Plan (NEST Direct Plan) Account. You do not need to enter information that will not be changed.
• If you are changing your name, you must also provide a copy of an official document that changes your name certified within 60 days of this request (i.e. Marriage Certificate, Divorce Decree, etc.).
Name of Account Owner (first, middle initial, last)
Permanent Street Address (P.O. boxes are <b>not</b> acceptable.)
City State Zip Code
Account Mailing Address if different from above (This address will be used as the Account's address of record for all Account mailings.)
City State Zip Code
Telephone Number (In case we have a question about your Account.)
Email Address
Transfer assets to new Account Owner
• This will transfer ownership and all obligations and rights of all of the assets in the referenced Account to the new Account Owner listed below.
• If you transfer ownership, you must also provide a signature guarantee in <b>Section 9</b> .
• The new Account Owner will control the Account and the disposition of all assets held in the Account.
• The new Account Owner must also complete an <b>Enrollment Form.</b>
• A new Account Owner cannot withdraw funds within 10 business days of the change without completing Medallion Signature Guarantee in <b>Section 9</b> .
You cannot change the Account Owner for a Minor-Owned or UGMA/UTMA Account.
Account Number (If applicable)
Name of New Account Owner (first, middle initial, last)
Social Security Number or Taxpayer Identification Number (Required)  Birth Date/Trust Date (mm/dd/yyyy)

#### DO NOT STAPLE

## 5. Successor Account Owner information

- Complete this section only if you are adding, changing, or deleting Successor Account Owner information on your Account.
- As the Account Owner, you may designate a Successor Account owner to take control of the Account in the event of your death or legal incapacity. You may revoke or change your designation later by completing the appropriate form. See the NEST Direct Plan Program Disclosure Statement and Participation Agreement (Program Disclosure Statement) for more information.
- The person you designate as Successor Account Owner cannot be a minor.
- A Successor Account Owner is not permitted on a Minor-Owned or UGMA/UTMA Account.

Check one.	count Owner is not permi	tica on a minor own	ica di Odi	VII () () (1 (VII) ( )	100001	11.							
Add	Change	Delete											
Name of Successor A	ccount Owner (first, middle init	ial, last)											
Mailing Address													
City				State		Zip Coo	le			- [			
Birth Date (mm/dd/yy)													
Individual Au	thorized to Act												
The Individual Aut	norized to Act is required thorized to Act is the pers unt's address of record fo	on who can transact	on the Ac										be
A. Custodian	of UGMA/UTMA Accou	nt <i>(If replacing, an E</i>	nrollment	Form signea	by th	e new C	Sustod	lian is r	equire	ed.)			
Repla	ace Change inf	ormation											
Parent/Gu	ardian if a Minor-Owned	Account (If replacin	ıg, an Enro	llment Form	signe	ed by the	e new	parent,	/guard	lian i	is requ	uired.	'.)
Repla	ace Change inf	ormation											
Trustee of	Trust (Include letter of a	uthorization.)											
Add	Replace		disting and	do not add		Chan	ge inf	ormati	on				
Corporato	Officer or Governmental		Ü				J						
							. ,						
Add	Replace	Delete ex	disting and	do not add		Chan	ige inf	ormati	on				
Agent or A	Attorney-in-Fact (Include	Power of Attorney for	orm.)										
Delet	te existing and do not ad	d											



Individ	lual /	Autho	orized	to A	t (Fir	st nar	ne)																													
												٦٢													٦٢								1	٦٢		
Individ	lual /	Autho	orized	to A	t Leg	al Na	me i	Las	t nai	me)																										-
	$\overline{}$		1			1					7	7																								
Social	Sec	urity	J — Numh	er or	] Taxna	ver l	L	L ifica	ation	Nun	] nher	(Re	iune	red	)																					
		,	1	o. o.	) [	.,	1	7			1	7	7		, 				1	1	7			1	7				1	7			1	7		_
Permai	nent	Stre	et Ado	lress	/P ()	hoxe	s are		nt acc	L	hle l	_				_ L					_  _				⊒L					JL.				<u></u> ∐∟		-
			1	1000	), .o.	I .	1			Г	1 <i>010.,</i>	, 기୮			7		_		1	7	Г	_		7	Г				1	7				7		_
City								_lL				JL				_						State				[ ip Co				JL			L	⅃L		_
					1		1		_		1	7	_		¬_		_		1	<b>-</b>	 		,	- -	7				1	7	_		1			_
Accour	nt M	lailin	a Vqqi	occ i	diffe	ront	from	_	200	 (This		roce		L ha			tho	1000	l unt's			of r	ocor	d for		[	unt	naili	nas	JL						_
Accoun	1111 1V	iaiiiii		C33 I	ı uiiic	I GIIL	1		Jve (	11113	1 [	75	5 VVII	l De		u as	uie i	ALLU	1	- <i>auu</i>	<i>1000</i> Г		5001	u 101 7	all A	7000	unti	nann	11 <i>y</i> 3./	7				7		
																					L				Ļ	[						_				
City																											- 4-									_
City	 		1				1	Г			1										,	State	)		Z	ip Co	ode									
Teleph Inte	re	ste	d pa	irty	inf	orn	nat	io	n																											
Teleph  Inte  Comp the P to ma	e <b>re</b> : olet lan, ake	ste e th , rec cha	d pa	erty ction info to t	inf n if y rmat he a	orn ou v	n <b>at</b> van verl int d	t <b>io</b> t to ball or r	<b>n</b> ad ly a	d aı bou ıest	n ind t the	divi e A	idua Acca	al a oun ons	t an	nd r ou	ece can	ive als	qua o us	rter e th	to ly s	the tate	Aco eme	ents to r	nt. Ar . Ar	An i n in	nte tere	ste cha	d pa	exi	wi stir	ll n ng i	ot b	e al	llov	٧
Teleph  Inte  Comp the P to ma inforr	e <b>re:</b> Dlet Dlan, Dake mat	ste e th , rec cha ion	d pa	arty ction info to t	inf n if y rmat he a	orn ou v	n <b>at</b> van verl int d	t <b>io</b> t to ball or r	<b>n</b> ad ly a	d aı bou ıest	n ind t the	divi e A	idua Acca	al a oun ons	t an	nd r ou	ece can	ive als	qua o us	rter e th	to ly s	the tate	Aco eme	ents to r	nt. Ar . Ar	An i n in	nte tere	ste cha	d pa	exi	wi stir	ll n ng i	ot b	e al	llov	٧
Teleph  Inte  Compthe P to mainforr  Chec	ere: olet lan, ake mat	e th , rec cha iion	d pa	arty ction info to t	inf n if y rmat he a	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Teleph  Inte  Compthe P to mainforr  Chec	e <b>re:</b> Dlet Dlan, Dake mat	e th , rec cha iion	d pa	arty ction info to t	inf n if y rmat he a	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b	e al rest	llov	٧
Teleph  Inte  Comp the P to mainforr  Chec	ere: olet llan, ake mat <b>k c</b> Adc	e th , rec cha iion	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Teleph  Inte  Compthe P to mainforr  Chec	ere: olet llan, ake mat <b>k c</b> Adc	e th , rec cha iion	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Teleph  Inte  Comp the P to mainforr  Chec	ere: olet llan, ake mat <b>k c</b> Adc	e th , rec cha iion	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Teleph  Inte  Comp the P to mainforr  Chec	olet lan, ake mat Add	e th , rec cha ion one.	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Inte Compthe P to mainforr Chec	olet lan, ake mat Add	e th , rec cha ion one.	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s iis s in	the tate sect tere	Aco eme ion este	ents to r ed p	nt. / . Ar repl arty	An i n in ace	nte tere	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Inte Compthe P to mainforr Chec	olet lan, ake mat Add	e th , rec cha ion one.	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s lis s e in	the tate sect tere	Accement ion steel	ents to r ed p	nt. / . Ar repl arty tior	An in in ace	nte tere or se a	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧
Inte Compthe P to mainforr Chec	olet lan, ake mat Add	e th , rec cha ion one.	d pa	arty ction info to t bur A	inf if y i	orn ou v cou unt.	nat van verl int d To a	t <b>io</b> n t to ball or r add	n o ad ly a requ d or	d ai bou iest cha	n ind t the trai	divi e A nsa in	idua Acco acti forr	al a oun ons	t an	nd r ou	ece can	ive also ore t	qua o us than	rter e th	to ly s lis s e in	the tate sect tere	Accement ion steel	ents to r ed p	nt. / . Ar repl arty tior	An i n in ace	nte tere or se a	ste cha	d pa	exi	wi stir	II n ng i eet.	ot b nter	e al rest	llov	٧

### 8. Signature — YOU MUST SIGN BELOW

- I certify that I have read, understand, consent, and agree to all the terms and conditions of the Program Disclosure Statement and understand the rules and regulations governing the NEST Direct Plan as they relate to this information change request.
- By signing below, I authorize the Program Manager or its designee to change my Account information according to the instructions above.
- If I am changing the Account Owner, by signing this form as the current Account Owner, I acknowledge that the transfer is subject to the Program Manager's verification of the new Account Owner. I have consulted with a tax advisor concerning the potential income gift and estate tax consequences of my transfer of ownership before signing and submitting this form.
- If the Account is owned by an entity or trust, I certify that I am authorized to act on its behalf in making this request. If the Account is a Minor-Owned Account or is funded with UGMA/UTMA assets, I further certify that I am the Parent/Guardian/Custodian of the Account.

SIGNATURE	
Signature of Account Owner	Date (mm/dd/yyyy)

# Medallion Signature Guarantee — REQUIRED FOR CHANGES TO THE ACCOUNT OWNER OF AN EXISTING ACCOUNT, REPLACING CUSTODIAN, AND/OR REPLACING A PARENT/ GUARDIAN /CUSTODIAN

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the Program Disclosure Statement.

SIGNATURE	
Signature of Account Owner (In the presence of the authorized officer.)	
	Authorized Officer to place stamp here
Signature of Guarantor	
Title	
Name of Institution	
Date (mm/dd/yyyy)	

Nebraska Educational Savings Plan Trust, Issuer. Nebraska State Treasurer, Trustee. Nebraska Investment Council, Investment Oversight. First National Bank of Omaha, Program Manager. First National Capital Markets, Inc. Distributor, Member FINRA, SIPC. First National Capital Markets and First National Bank of Omaha are affiliates.





[PAGE LEFT BLANK INTENTIONALLY]

[PAGE LEFT BLANK INTENTIONALLY]

[PAGE LEFT BLANK INTENTIONALLY]