

New York's 529 College Savings Program *Direct Plan***Exchange/Future Contribution
(Allocation) Form**

- You can easily exchange existing assets or change your future contribution allocation online at www.nysaves.org.
- Complete **Section 2** to exchange existing assets to a new investment option. **You can do this twice per calendar year.**
- Complete **Section 3** to change your allocation instructions for future contributions.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our Web site at www.nysaves.org. Or you can call us toll-free to order any form—or get assistance in filling out this one—at **1-877-NYSAVES** (1-877-697-2837) on business days from 8 a.m. to 8 p.m., Eastern time. Return this form and any other required documents in the enclosed postage-paid envelope, or mail to: **New York's 529 College Savings Program Direct Plan, P.O. Box 55440, Boston, MA 02205-8323**. For overnight delivery or registered mail, send to: **New York's 529 College Savings Program Direct Plan, 95 Wells Avenue, Suite 155, Newton, MA 02459-3204**.

1. Account Information

Account Number

Name of Account Owner (*first, middle initial, last*)
 - -

Daytime Telephone Number

 - -

Evening Telephone Number

Name of Beneficiary (*first, middle initial, last*)**REMEMBER TO SIGN IN SECTION 4.**

00-66640-001

2. Exchange Instructions

- Complete this section to move existing assets from one investment to another.
- You may **choose up to five options** and/or portfolios for your account. You must **allocate at least 5% of your contribution** to each investment you choose.
- For more information on the investment options, refer to the Disclosure Booklet and Tuition Savings Agreement (available at www.nysaves.org) or call us at **1-877-NYSAVES** (1-877-697-2837).

Note: This exchange instruction applies only to assets currently held in your account; it will not change the allocation of your future contributions.

Exchange FROM

*(Indicate "All" or the percentage of your **current** investment that you want to move to a new investment.)*

Investment Options

Exchange TO

(Indicate the percentage of the total amount of the assets you are moving that you want invested in each portfolio.)

Target Enrollment Portfolios

(Your investment mix automatically becomes more conservative as the beneficiary nears target enrollment year.)

<i>All</i>	<i>OR</i>	<i>Percentage</i>		<i>%</i>
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2043 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2042 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2041 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2040 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2039 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2038 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2037 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2036 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2035 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2034 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2033 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2032 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2031 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2030 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2029 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2028 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2027 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2026 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2025 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2024 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Target Enrollment 2023 Portfolio	<input type="text"/> %
<input type="checkbox"/>	<i>OR</i>	<input type="text"/> %	Commencement Portfolio	<input type="text"/> %

Please remember to:

- Choose no more than five investments.
- Use whole numbers—no fractions or decimals.
- Make sure you sign in **Section 4**.

Individual Portfolios

(Your investment mix changes only on your instructions.)

Stock Portfolios:

<input type="checkbox"/>	OR	<input type="text"/>	%	Developed Markets Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Global Equity Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Growth Stock Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	International Stock Market Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Mid-Cap Stock Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Small-Cap Stock Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Social Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	U.S. Stock Market Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Value Stock Index Portfolio	<input type="text"/>	%

Balanced Portfolios:

<input type="checkbox"/>	OR	<input type="text"/>	%	Conservative Growth Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Growth Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Income Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Moderate Growth Portfolio	<input type="text"/>	%

Bond Portfolios:

<input type="checkbox"/>	OR	<input type="text"/>	%	Bond Market Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Conservative Income Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	International Bond Market Index Portfolio	<input type="text"/>	%
<input type="checkbox"/>	OR	<input type="text"/>	%	Short-Term Bond Market Index Portfolio	<input type="text"/>	%

Short-Term Investments Portfolio:

<input type="checkbox"/>	OR	<input type="text"/>	%	Interest Accumulation Portfolio	<input type="text"/>	%
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TOTAL %

3. Allocation Instructions for Future Contributions

- Complete this section to change how your future contributions should be invested.
- You can invest your contributions in target enrollment portfolios, individual portfolios, or a combination of these. Refer to the Disclosure Booklet and Tuition Savings Agreement for more information.
- You may **allocate your contributions to a maximum of five investment options**. You must **allocate at least 5%** of your contribution to each investment you choose, using whole percentages only.
- Your instructions will remain in effect until you change them online at www.nysaves.org or by submitting a new Exchange/Future Contribution (Allocation) Form.

Target Enrollment Portfolios

(Your investment mix automatically becomes more conservative as the beneficiary nears target enrollment year.)

Target Enrollment 2043 Portfolio	<input type="text"/>	%
Target Enrollment 2042 Portfolio	<input type="text"/>	%
Target Enrollment 2041 Portfolio	<input type="text"/>	%
Target Enrollment 2040 Portfolio	<input type="text"/>	%
Target Enrollment 2039 Portfolio	<input type="text"/>	%
Target Enrollment 2038 Portfolio	<input type="text"/>	%
Target Enrollment 2037 Portfolio	<input type="text"/>	%
Target Enrollment 2036 Portfolio	<input type="text"/>	%
Target Enrollment 2035 Portfolio	<input type="text"/>	%
Target Enrollment 2034 Portfolio	<input type="text"/>	%
Target Enrollment 2033 Portfolio	<input type="text"/>	%
Target Enrollment 2032 Portfolio	<input type="text"/>	%
Target Enrollment 2031 Portfolio	<input type="text"/>	%
Target Enrollment 2030 Portfolio	<input type="text"/>	%
Target Enrollment 2029 Portfolio	<input type="text"/>	%
Target Enrollment 2028 Portfolio	<input type="text"/>	%
Target Enrollment 2027 Portfolio	<input type="text"/>	%
Target Enrollment 2026 Portfolio	<input type="text"/>	%
Target Enrollment 2025 Portfolio	<input type="text"/>	%
Target Enrollment 2024 Portfolio	<input type="text"/>	%
Target Enrollment 2023 Portfolio	<input type="text"/>	%
Commencement Portfolio	<input type="text"/>	%

Individual Portfolios

(Your investment mix changes on your instructions.)

Stock Portfolios:

Developed Markets Index Portfolio	<input type="text"/>	%
Global Equity Portfolio	<input type="text"/>	%
Growth Stock Index Portfolio	<input type="text"/>	%
International Stock Market Index Portfolio	<input type="text"/>	%
Mid-Cap Stock Index Portfolio	<input type="text"/>	%
Small-Cap Stock Index Portfolio	<input type="text"/>	%
Social Index Portfolio	<input type="text"/>	%
U.S. Stock Market Index Portfolio	<input type="text"/>	%
Value Stock Index Portfolio	<input type="text"/>	%

Balanced Portfolios:

Conservative Growth Portfolio	<input type="text"/>	%
Growth Portfolio	<input type="text"/>	%
Income Portfolio	<input type="text"/>	%
Moderate Growth Portfolio	<input type="text"/>	%

Bond Portfolios:

Bond Market Index Portfolio	<input type="text"/>	%
Conservative Income Portfolio	<input type="text"/>	%
International Bond Market Index Portfolio	<input type="text"/>	%
Short-Term Bond Market Index Portfolio	<input type="text"/>	%

Short-Term Investments Portfolio:

Interest Accumulation Portfolio	<input type="text"/>	%
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TOTAL %

Please remember to:

- Choose no more than five investments.
- Use whole numbers—no fractions or decimals.
- Make sure you sign in **Section 4**.

4. Authorization—YOU MUST SIGN BELOW

I authorize the exchange of assets in my account to the investments I selected in **Section 2** and/or the allocation of my future contributions to the investments I selected in **Section 3**. I certify that I have read the Disclosure Booklet and Tuition Savings Agreement and understand the rules and regulations governing New York's 529 College Savings Program *Direct Plan*.

▶

Signature of Account Owner

/ /

Date (month, day, year)