

# **The *Direct Plan's* Privacy Policy**

## **New York State Personal Privacy Protection Law Notice**

Personal information is being requested from you by the employees, agents, or representatives of the following entities: the Comptroller; HESC; Ascensus Broker Dealer Services, Inc.; and The Vanguard Group, Inc.

Personal information you submit will be maintained in the records of New York's 529 College Savings Program Direct Plan. Ascensus Broker Dealer Services and Vanguard are responsible for maintaining those records. They may be contacted by mail at P.O. Box 55440, Boston, MA 02205-8323 or by telephone at **1-877-NYSAVES**.

Personal information is collected from you under the authority of the New York State College Choice Tuition Savings Program Act (Article 14-A of the New York Education Law) and Section 529 of the Code. The personal information you submit will be used to maintain records of your contributions to the Program and the earnings on those contributions. It will also be used to process transactions you request. If you decline to submit the requested information it may be impossible for you to be enrolled in the Program or for the Program to process transactions you request.