

2. Roth IRA Manager or Custodian (Financial Institution)

The receiving Roth IRA must have the same Account Owner name and Social Security number or Taxpayer Identification number as the Beneficiary of your Oklahoma 529 Account. Please contact the receiving Roth IRA Plan Manager or Custodian for proper mailing address.

[Grid of 30 boxes for name entry]

Roth IRA Account Owner Name (This must be the Beneficiary of the 529 Account being rolled over)

[Grid of 15 boxes for account number entry]

Account Number of Roth IRA

[Grid of 30 boxes for manager name entry]

Name of Receiving Roth IRA Manager or Custodian (Usually a Financial Institution)

[Grid of 30 boxes for address entry]

Address of Receiving Roth IRA Manager or Custodian

[Grid of 15 boxes for city entry]

City

[Grid of 2 boxes for state entry]

State

[Grid of 5 boxes for zip code entry]

Zip Code

[Grid of 10 boxes for telephone number entry]

Telephone Number

3. Instructions to the Oklahoma 529

The assets described below will be moved from your Oklahoma 529 Account to the Financial Institution indicated in Section 2.

- The value of the assets being rolled over to the Roth IRA is subject to the annual contribution limit for the taxable year applicable to the Beneficiary for all individual retirement plans maintained for the benefit of the Beneficiary.

Check one.

A. Roll over all of the assets in my Oklahoma 529 Account to the Roth IRA indicated in Section 2.

B. Roll over a partial pro-rated amount of the assets to the Roth IRA.

\$ [Grid of 10 boxes for amount entry]

Amount

C. Roll over a partial fund specific amount of the assets to the Roth IRA.

Important: If the dollar amount you indicate for a particular Investment Portfolio exceeds the total balance in the Investment Portfolio as of the previous market close, we will liquidate the total balance of that Investment Portfolio.

Name of Investment Portfolio	Dollar amount (For partial amounts.)	OR	Total balance (Check if applicable.)
[Text Box]	\$ [Grid of 10 boxes]		[Grid of 2 boxes]
[Text Box]	\$ [Grid of 10 boxes]		[Grid of 2 boxes]
[Text Box]	\$ [Grid of 10 boxes]		[Grid of 2 boxes]
[Text Box]	\$ [Grid of 10 boxes]		[Grid of 2 boxes]
[Text Box]	\$ [Grid of 10 boxes]		[Grid of 2 boxes]

4. SIGNATURE — YOU MUST SIGN BELOW

By signing below, I certify that the information contained in this form, and in any required documentation, is true, complete and correct.

Note: The IRS may issue additional guidance that may impact 529 account rollovers to Roth IRAs. Please consult a financial professional or tax advisor regarding the applicability of these rollovers to your personal situation.

- I certify that I have read, understand, consent, and agree to all of the terms and conditions of the Oklahoma 529 Plan Description, and understand the rules and regulations governing rollover contributions to Roth IRAs from 529 plans, and that the rollover I am requesting meets all of the requirements and conditions required for an eligible rollover of assets to Roth IRAs from 529 plans.
- I understand that my 529 account must be open for 15 or more years in order to qualify for a rollover to a Roth IRA.
- I understand that IRS regulations permit a lifetime maximum amount of \$35,000 per Beneficiary to be rolled over from 529 accounts to Roth IRAs.
- I understand that 529 contributions and associated earnings must be in my account for more than 5 years in order to qualify for a rollover to a Roth IRA.
- I understand that I am responsible for tracking and documenting the length of time my 529 account has been open and the amount of assets in my 529 account eligible to be rolled into a Roth IRA.
- I understand that 529 assets can only be rolled over into a Roth IRA maintained for the benefit of the Beneficiary on my 529 account.
- I understand that the Roth IRA contribution is subject to the Roth IRA contribution limit for the taxable year applicable to the Beneficiary for all individual retirement plans maintained for the benefit of the Beneficiary.

SIGNATURE

Signature of Account Owner

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Date (mm-dd-yyyy)