

Change of Investment Form



EDUCATION SAVINGS PLAN BY COLLEGEINVEST

Scholars Choice is a registered service mark of CollegeInvest.

- Use this form to request one of your twice per calendar year investment rebalances or to change your allocation instructions for future contributions.
Note: You can also exchange existing assets or change your future contribution allocations online at **scholars-choice.com**.
- Complete **Section 2** to perform an exchange from your current Investment Portfolio to a new Investment Portfolio. *(You can do this only twice per calendar year.)*
- Complete **Section 3** to change your Investment Portfolio for future contributions into your account.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address listed. Do not staple.

To request assistance in completing this form call us at **1-888-5-SCHOLAR (1-888-572-4652)**. Monday to Friday 8:30 a.m. - 6 p.m. ET.

 **1-888-5-SCHOLAR (1-888-572-4652)**
Monday to Friday 9:00 a.m. - 10:00 p.m. ET

 scholars-choice.com

Regular mailing address:

Scholars Choice
PO Box 219372
Kansas City, MO 64121

Overnight mailing address:

Scholars Choice
1001 E 101st Terrace, Suite 200
Kansas City, MO 64131

1. Current Account Information

□	□	□	□	□	□	□	□	□	□	—	□	□
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Account Number

[illegible]

Account Owner or Trustee/Custodian or Entity (First name)

(MI)

[illegible]

Account Owner or Trustee/Custodian or Entity (Last name)

$$\square\square\square - \square\square\square - \square\square\square\square$$

Telephone Number

[illegible]

Beneficiary (First name)

(M.I.)

[illegible]

Beneficiary (Last name)



* SCHOLARS CHOICE CHANGE OF INVESTMENT *

2. Exchange Current Investment Portfolio(s)

- For each Investment Portfolio you wish to change, indicate the percentage of assets you want moved and where you want the assets invested. Assets must stay within the current share class. Cross-class exchanges are not permitted.
- If you are currently enrolled in the Systematic Rebalance Feature, your investment allocations under this option will be updated accordingly.
- See the Scholars Choice Education Savings Plan Description, available at **scholars-choice.com**, for complete information on Investment Portfolios.
- Please select one or more Investment Portfolios from the choices below. If you choose to exchange into only one Investment Portfolio please indicate **100%** next to that Portfolio. If you choose more than one Investment Portfolio please indicate the percentage amount of the assets you would like to invest into each of the selected Portfolios with the total equaling **100%**.
- Contributions to Class C Units that have been in an Account for at least six years automatically transfer to Class A in the month following the six year anniversary of when they were purchased in the Account holding such units.

Remember: Federal law allows Account Owners to make two investment rebalances each calendar year.

Note: This change applies only to the assets currently held in your Account. To update your allocation instructions for future contributions go to **Section 3**.

Exchange FROM			Investment Portfolio	Exchange TO
All		Percentage	Enrollment Year Investment Portfolios	
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2040/2041 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2038/2039 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2036/2037 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2034/2035 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2032/2033 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2030/2031 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2028/2029 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2026/2027 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	2024/2025 Enrollment Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	In School Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
			Target Allocation Portfolios:	
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	All Equity Allocation Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Growth Allocation Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Balanced Allocation Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Conservative Allocation Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
			Individual Fund Portfolios:	
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	TIAA Large Cap U.S. Equity Index Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Nuveen Dividend Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Principal Equity Income Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	T. Rowe Price Large Cap Growth Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Principal Mid Cap Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	TIAA U.S. Small Cap Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Oakmark International Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	DFA Emerging Markets Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Western Asset Core Plus Bond Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Dodge & Cox Global Fixed Income Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	TIAA Money Market Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Nuveen ESG Large Cap U.S. Equity Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Nuveen ESG International Developed Equity Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
<input type="checkbox"/>	OR	<input type="text"/> <input type="text"/> %	Nuveen ESG U.S. Aggregate Bond Portfolio	<input type="text"/> <input type="text"/> <input type="text"/> %
			TOTAL	<input type="text"/> <input type="text"/> <input type="text"/> %

Note: Please review the Scholars Choice Education Savings Plan Description for investment objectives and risks of the Investment Portfolios.

3. Allocation Instructions for Future Contributions

A. Please check one box below if you would like to update your allocations for future contributions to your account:

If you do not complete this section, your allocation percentages will NOT be changed. Thus, your future contributions will continue to be invested in the existing Portfolio(s), not the Portfolio(s) you selected in **Section 2**.

- ☐ Direct all new contributions to the same allocation percentages selected in **Section 2**. (No further action is necessary in **Section 3**)
- ☐ Direct all new contributions to the allocation percentages selected below (complete **Section 3B**).

B. Complete this section only if you want to direct your future contributions to a different Investment Portfolio(s) than what you selected in **Section 2**.

Please select only one Unit Class (Required).

- ☐ Class A ☐ Class C ☐ Class I

Enrollment Year Investment Portfolios:

The asset allocation of money invested in the Enrollment Year Investment Portfolios is automatically adjusted over time to become more conservative as the enrollment. The assets will remain in your selected Enrollment Portfolio until it merges with the In School Portfolio,* or unless you exchange an Enrollment Portfolio for another Enrollment Portfolio or any other Investment Portfolio.**

2040/2041 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2038/2039 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2036/2037 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2034/2035 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2032/2033 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2030/2031 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2028/2029 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2026/2027 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
2024/2025 Enrollment Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
In School Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%

Target Allocation Portfolios:

The assets will remain in your selected portfolio(s) unless you exchange your selection(s) for a different Investment Portfolio(s).**

All Equity Allocation Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Growth Allocation Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Balanced Allocation Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Conservative Allocation Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%

Individual Fund Portfolios:

The assets will remain in your selected portfolio(s) unless you exchange your selection(s) for a different Investment Portfolio(s).**

TIAA Large Cap U.S. Equity Index Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Nuveen Dividend Growth Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Principal Equity Income Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
T. Rowe Price Large Cap Growth Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Principal Mid Cap Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
TIAA U.S. Small Cap Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Oakmark International Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
DFA Emerging Markets Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Western Asset Core Plus Bond Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Dodge & Cox Global Fixed Income Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
TIAA Money Market Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Nuveen ESG Large Cap U.S. Equity Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Nuveen ESG International Developed Equity Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%
Nuveen ESG U.S. Aggregate Bond Portfolio	<input type="text"/>	<input type="text"/>	<input type="text"/>	%

Total %

* See the Plan Description for details.

** Subject to the two permitted investment rebalances per calendar year.

4. SIGNATURE — YOU MUST SIGN BELOW

By signing this form, I authorize the transfer of funds from my current Investment Portfolio(s) to the Investment Portfolio(s) indicated in Section 2 and I acknowledge the following:

- I understand a rebalance, or transfer of assets, among Investment Portfolios for my Account can only be requested twice per calendar year.
- If I am participating in Recurring Contribution, I understand my contributions will continue into my original Investment Portfolio(s) unless an updated **Account Features Form** accompanies this form.
- The purchased Units will retain the same holding-period characteristics as the redeemed Units with respect to any applicable CDSC (or, for Class C Units, future conversion to Class A Units).
- If Class A Units in the TIAA Money Market Portfolio are exchanged for Units in another Investment Portfolio, the exchange will be subject to an initial sales charge unless an initial sales charge was previously paid on the Class A Units being exchanged.

I understand this rebalance, or transfer of assets will become effective upon the Plan's receipt of all required documentation in good order.

I certify that I am the Account Owner or the Trustee/Custodian, or I have the authority to act as the Account Owner.

SIGNATURE

Signature of Account Owner or Trustee/Custodian or Authorized Representative of Entity

□□ — □□ — □□□□

Date (mm-dd-yyyy)

nuveen

A TIAA Company

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