

CollegInvest Direct Portfolio College Savings Plan

## Exchange/Future Contribution (Allocation) Form



- You can easily exchange existing assets or change your future contribution allocation online at [www.collegeinvest.org](http://www.collegeinvest.org).
- Complete **Section 2** to exchange existing assets to a new investment option. You can do this twice per calendar year.
- Complete **Section 3** to change your allocation instructions for future contributions.
- Print clearly, preferably in capital letters and black ink.

You can download forms from our website at [www.collegeinvest.org](http://www.collegeinvest.org). Or you can call us to order any form at **800-997-4295** Monday through Friday from 6 a.m. to 7 p.m., Mountain time. Return this form in the enclosed postage-paid envelope or mail to: **CollegInvest Direct Portfolio College Savings Plan, P.O. Box 219931, Kansas City, MO 64121-9931**. For overnight delivery or registered mail, send to: **CollegInvest Direct Portfolio College Savings Plan, 1001 E 101st Terrace, Suite 200, Kansas City, MO 64131**.

### 1. Account Information

Account Number

Name of Account Owner *(first, middle initial, last)*

 -  - 

Daytime Phone Number

 -  - 

Evening Phone Number

Name of Beneficiary *(first, middle initial, last)*

**REMEMBER TO SIGN IN SECTION 4.**



CO ALLOCATION EXCHANGE

## 2. Exchange Instructions for Existing Assets

- Complete this section to move existing assets from one investment to another. To change how your future contributions will be invested, complete **Section 3**.
- You may **choose up to five** options and/or portfolios for your account. You must **allocate at least 5% of your exchange amount** to each investment you choose. Use whole percentages only.
- For more information on the investment options, refer to the Collegenest Direct Portfolio Plan Disclosure Statement (available at [www.collegenest.org](http://www.collegenest.org)) or call us at **800-997-4295**.

**Note:** This exchange applies only to assets currently held in your account; it will not change the allocation of your future contributions.

Exchange FROM	Investment Selection	Exchange TO
<p><i>(Check "All" or indicate the percentage of your <b>current investment</b> that you want moved to a new investment.)</i></p> <p>All <span style="margin-left: 20px;">Percentage</span></p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p> <p><input type="checkbox"/> OR <input style="width: 40px;" type="text"/> %</p>	<p><b>Age-Based Options—Designed for Higher Education:</b></p> <p>Conservative Age-Based Option <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Moderate Age-Based Option <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Aggressive Age-Based Option <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p><b>Blended Portfolios:</b></p> <p>Aggressive Growth Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Growth Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Moderate Growth Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Conservative Growth Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Income Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p><b>Individual Portfolios:</b></p> <p>Stock Index Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Bond Index Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p>Interest Accumulation Portfolio <span style="float: right;"><input style="width: 60px;" type="text"/> %</span></p> <p style="text-align: right;"><b>TOTAL</b> <span style="margin-left: 20px;"><input style="width: 60px; text-align: center; font-weight: bold;" type="text" value="100"/> %</span></p>	<p><b>Please remember:</b></p> <ul style="list-style-type: none"> <li>■ Choose no more than five investments for your account.</li> <li>■ Allocate at least 5% to each investment you choose.</li> <li>■ Use whole percentages.</li> <li>■ Sign in <b>Section 4</b>.</li> </ul>

**Note:** Processing an exchange does not change how your future contributions are invested. Please refer to **Section 3** for future contribution changes.

### 3. Allocation Instructions for Future Contributions

- Complete this section to change how your future contributions will be invested. To move existing assets from one investment to another, complete **Section 2**.
- You can invest your contributions in Age-Based Options (Designed for Higher Education), Blended and Individual Portfolios, or a combination of these. Refer to the CollegeInvest Direct Portfolio Plan Disclosure Statement for more information.
- You may **allocate your contributions to a maximum of five investment options**. You must **allocate at least 5%** of your contribution to each investment you choose. Use whole percentages only.
- Your instructions will remain in effect until you change them online at [www.collegeinvest.org](http://www.collegeinvest.org) or submit a new Exchange/Future Contribution (Allocation) Form.

**Note:** This change applies only to the allocation of your future contributions; it will not affect the assets currently held in your account.

#### Age-Based Options—Designed for Higher Education:

Conservative Age-Based Option	<input type="text"/>	%
Moderate Age-Based Option	<input type="text"/>	%
Aggressive Age-Based Option	<input type="text"/>	%

#### Blended Portfolios:

Aggressive Growth Portfolio	<input type="text"/>	%
Growth Portfolio	<input type="text"/>	%
Moderate Growth Portfolio	<input type="text"/>	%
Conservative Growth Portfolio	<input type="text"/>	%
Income Portfolio	<input type="text"/>	%

#### Individual Portfolios:

Stock Index Portfolio	<input type="text"/>	%
Bond Index Portfolio	<input type="text"/>	%
Interest Accumulation Portfolio	<input type="text"/>	%

**TOTAL**  %

#### Please remember:

- Choose no more than five investments for your account.
- Allocate at least 5% to each investment you choose.
- Use whole percentages.
- Sign in **Section 4**.

### 4. Authorization—YOU MUST SIGN BELOW

I certify that the information provided herein is true and complete in all respects.

➤

**Signature of Account Owner** *(If the account owner is a minor, the designated parent or guardian must sign.)*

/  /

Date *(month, day, year)*

