CollegeInvest Direct Portfolio College Savings Plan

Direct Rollover Out to Roth IRA Form



- Complete this form to initiate a direct rollover of assets from your CollegeInvest Direct Portfolio College Savings Plan Account to an existing Roth IRA account established for the benefit of the Beneficiary.
- The designated beneficiary in **Section 1** will be the tax responsible party who will receive the IRS Form 1099-Q.
- Your rollover will be processed out of your CollegeInvest Direct Portfolio College Savings Plan Account according to the instructions you
 provide in Section 3.
- Please review Section 4 to determine whether or not your assets are eligible for rollover to a Roth IRA.
- Please consult with your Roth IRA provider to determine whether there are additional requirements before submitting this form.
- Complete a separate form for each account.
- Complete all sections in blue or black ink and print in capital letters. Be sure to sign and date this form.

You can download forms from our website at **www.collegeinvest.org**. Or you can call us to order any form at **800-997-4295** Monday through Friday from 6 a.m. to 7 p.m., Mountain time. Return this form and any other required documents in the enclosed postage-paid envelope or mail to: **CollegeInvest Direct Portfolio College Savings Plan, P.O. Box 219931, Kansas City, MO 64121-9931**. For overnight delivery or registered mail, send to: **CollegeInvest Direct Portfolio College Savings Plan, 1001 E 101st Terrace, Suite 200, Kansas City, MO 64131**.

CollegeInvest Direct Portfolio College Savings Plan Account Information			
Account Number (Required)			
Social Security Number or Taxpayer Identification Number (<i>Required</i>)			
Account Owner (First name) (Required) (M.I.)			
Account Owner (Last name) (Required)			
Telephone Number			
Beneficiary (First name) (Required) (M.I.)			
Beneficiary (Last name) (Required)			
Bonoficiary Social Security or Taynayor Identification Number (Required)			



Roth IRA Manager or Custodian (Financial I	Institution)	
The receiving Roth IRA must have the same account ov as the beneficiary of your CollegeInvest Direct Portfolio Manager or Custodian for proper mailing address.		
Account Number of Roth IRA		
Name of Receiving Roth IRA Manager or Custodian (Usually a Financia	I Institution)	
Address of Receiving Roth IRA Manager or Custodian		
City	State Zip Code	
Telephone Number		
 Instructions to CollegeInvest Direct Portfolion The assets described below will be moved from your College Institution indicated in Section 2. The value of the assets being rolled over to the Roth IR the beneficiary for all individual retirement plans maint 	egeInvest Direct Portfolio College Savings F A is subject to the annual contribution limit	
Check one.		
A. Roll over all of the assets in my CollegeInves indicated in Section 2.	t Direct Portfolio College Savings Plan	Account to the Roth IRA
B. Roll over a partial pro-rated amount of the as \$	sets to my Roth IRA.	
C. Roll over a partial fund specific amount of the	e assets to my Roth IRA.	
Important: If the dollar amount you indicate for a Portfolio as of the previous market close, we will		
Name of Investment Portfolio	Dollar amount (For partial amounts.)	OR Total balance (Check if applicable
	\$	
	\$	
	 2	

2.

3.

4. SIGNATURE — YOU MUST SIGN BELOW

By signing below, I certify that the information contained in this form, and in any required documentation, is true, complete and correct.

Note: The IRS may issue additional guidance that may impact 529 account rollovers to Roth IRAs. Please consult a financial professional or tax advisor regarding the applicability of these rollovers to your personal situation.

- I certify that I have read, understand, consent, and agree to all of the terms and conditions of the CollegeInvest Direct Portfolio
 College Savings Plan Plan Description, and understand the rules and regulations governing rollover contributions to Roth IRAs from
 529 plans, and that the rollover I am requesting meets all of the requirements and conditions required for an eligible rollover of
 assets to Roth IRAs from 529 plans.
- I understand that my 529 account must be open for 15 or more years in order to qualify for a rollover to a Roth IRA.
- I understand that IRS regulations permit a lifetime maximum amount of \$35,000 per beneficiary to be rolled over from 529 accounts to Roth IRAs.
- I understand that 529 contributions and associated earnings must be in my account for more than 5 years in order to qualify for a rollover to a Roth IRA.
- I understand that I am responsible for tracking and documenting the length of time my 529 account has been open and the amount of
 assets in my 529 account eligible to be rolled into a Roth IRA.
- I understand there may be Colorado tax implications.
- I understand that 529 assets can only be rolled over into a Roth IRA maintained for the benefit of the beneficiary on my 529 account.
- I understand that the Roth IRA contribution is subject to the Roth IRA contribution limit for the taxable year applicable to the beneficiary for all individual retirement plans maintained for the benefit of the beneficiary.

SIGNATURE	
Signature of Account Owner	Date (mm-dd-yyyy)