

TNStars College Savings 529 Program

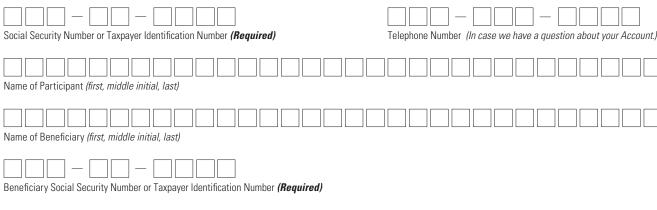
655.386.7827

Incoming Rollover Form

- Use this form to initiate a direct rollover from another 529 Plan, Coverdell or an Education Savings Account (ESA) to an existing Account in the TNStars College Savings 529 Program. (If you have not established an Account, you must also complete and enclose an Enrollment Application or enroll online at www.tnstars.com.)
- Once every 12 months you may roll over assets from the same Beneficiary. You may also roll over assets at any time when you change your Beneficiary.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address listed. Do not staple.

Forms can be downloaded from our website at **www.tnstars.com**, or you can call us to order any form — or request assistance in completing this form — at **855.386.7827** any business day from 8:00 a.m. to 4:30 p.m. Central Time.

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Rollover type	Newton, INA 02133-3201
Rollover from a 529 college savings plan (529 Plan)	
Rollover from an Education Savings Account (ESA) or Coverdell	
Rollover from a Qualified Savings Bond (EE Savings Bond)	
TNStars College Savings 529 Program Account information	
Account Number (If you have not established an Account, also complete and enclose an Enrollment	Application.)
Social Security Number or Taxpayer Identification Number (Required) Tel	lephone Number (In case we have a question about your Account.)





3. Instructions for Direct Rollovers

Amount

- Please provide current 529 plan name or Coverdell ESA Custodian Financial Institution information. (Not required for savings bonds.)
- We will contact the current 529 plan or custodian to send the funds to us. Please ask the current 529 plan or custodian if this request must be signature guaranteed and if so, complete **Section 6**.

• The account from which you are moving assets must have the same Participant and Beneficiary name as well as Social Security

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Instructions if Assets have been previously distributed
Assets previously distributed from another 529 Plan, Qualified U.S. Savings Bond, Coverdell or ESA must be deposited within 60 days of the withdrawal. You must include a statement from the former institution certifying the breakdown of contributions and earnings of the amount transferred. Until the statement is provided, the TNStars College Savings 529 Program will treat the entire contribution as earnings.
Enclosed is a check made payable to the TNStars College Savings 529 Program for Start College Savings 529 Program for Total Amount of Redemption
\$ Principal Amount of Redemption
\$,
Signature — YOU MUST SIGN BELOW
• I certify that I have read and understand, consent, and agree to all the terms and conditions of the TNStars College Savings 529 Program Disclosure Brochure as it relates to this Incoming Rollover request.
• By signing below, I authorize the TNStars College Savings 529 Program or its designee to roll over assets into TNStars College Savings 529 Program according to the instructions above.
• If the Account is owned by an entity or trust, I certify that I am authorized to act on its behalf in making this request. If the Account is

- funded with UGMA/UTMA assets, I further certify that I am the parent/guardian/custodian of the Account in question and that this request is in the best interest of the Beneficiary.
- If I am rolling over assets from another 529 plan, by signing below I certify that there has not been a rollover for this Beneficiary during the prior 12-month period. I further understand that moving assets among the same Participant and Beneficiary account that is in any 529 plan issued by the TNStars College Savings 529 Program will count towards my twice per calendar year Investment Option change limit and I certify that more than one Investment Option change has not occurred during the calendar year.
- If rolling over assets from another 529 plan to a new Beneficiary, I certify that the new Beneficiary is a "Member of the Family" of the current Beneficiary at the distributing 529 plan.
- I further certify that if I have already taken a withdrawal of the rollover amount for deposit into a TNStars College Savings 529 Program Account, this request is within 60 days of the withdrawal.
- If I am requesting a direct rollover, by signing below, I authorize the current 529 Plan manager or custodian to liquidate my investment identified in **Section 3** and roll over the assets into the TNStars College Savings 529 Program Account indicated in **Section 2** of this form. I understand I may need a signature guarantee in **Section 6**.
- I understand that I cannot make additional contributions for a Beneficiary when the fair market value of all Accounts maintained for that Beneficiary within all programs offered by the State of Tennessee exceeds \$350,000.
- I understand that the program manager, custodian or financial institution of the account from which assets are being rolled over is responsible for providing the TNStars College Savings 529 Program with a statement certifying the breakdown of contributions and earnings of the amount transferred. I further understand that until such statement is provided, the TNStars College Savings 529 Program will treat the entire contribution as earnings.
- I understand that rollovers, or Beneficiary changes not meeting these conditions may result in the earnings portion of the contribution being considered a Non-Qualified Withdrawal subject to federal income tax and an additional 10% federal penalty tax, and may be subject to state or local income tax.

If the current 529 Plan manager or custodian of the account from whice Signature Guarantee, do not sign here. Please go to Section 6.	he current 529 Plan manager or custodian of the account from which assets are being redeemed requires a Medallion mature Guarantee, do not sign here. Please go to Section 6.									
SIGNATURE										
Signature of Participant	 Date (mm/dd/vyvy)									

6. Medallion Signature Guarantee — IF APPLICABLE

(Only applicable if the current 529 Plan manager or custodian of the account from which the assets are being redeemed requires a Medallion Signature Guarantee - please check with your current 529 Plan manager or custodian. The lack of a required Signature Guarantee could delay this rollover.)

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution.

 A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the Disclosure Brochure.

SIGNATURE	Authorized Officer to place stamp here
Signature of Participant	
Signature Guarantor	
Title	
Name of Institution	

7. Authorization and acceptance (No Participant action is necessary in this section.)

The TNStars College Savings 529 Program hereby agrees to accept the rollover described herein and upon receipt will deposit the proceeds in the Account established on behalf of the Participant named herein.

KGO

Authorized signature, TNStars College Savings 529 Program

INSTRUCTIONS TO CUSTODIAN

Send redemption proceeds by check to **TNStars College Savings 529 Program**, **P.O. Box 55597 Boston**, **MA 02205-5597**. Make the check payable to **TNStars College Savings 529 Program**. Include the Participant name and the TNStars College Savings 529 Program Account number (*if provided*) on the check and enclose a statement that shows the principal and earnings in the Account.