# WA529 Invest Incoming Rollover Form



1-844-529-5845

529invest.wa.gov

Regular mailing address:

Kansas City, MO 64121-9072

1001 E 101st Terrace, Suite 200

Overnight mailing address:

Kansas City, MO 64131

WA529 Invest

PO Box 219072

WA529 Invest

Monday to Friday 8 a.m. - 7 p.m. PT

Please read the WA529 Invest Program Details Booklet for complete Rollover information before completing this form.

- Your rollover proceeds will be invested according to the allocation instructions you provide in **Section 4**. For new Accounts, WA529 Invest (Program) will follow the allocation instructions on the application you submit with this form.
- Complete a separate form for each Account and submit a new **Account Application** along with this form, unless you already have a Program Account for this Beneficiary.
- Complete all sections in blue or black ink and print in capital letters. Be sure to sign and date this form. A Medallion Signature Guarantee may be required as described in **Section 5**.
- Do not use this form to roll over a GET program account to WA529 Invest. To learn more about how to initiate a GET to WA529 Invest rollover, visit https://529.wa.gov/get/ rollovers or log in to your GET account at getportal.wsac.wa.gov.

To request assistance in completing this form call us at **1-844-529-5845** Monday to Friday 8 a.m. - 7 p.m. PT

# 1. Rollover Type

Rollover from a 529 Plan

Rollover from an Education Savings Account (ESA)

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# Current 529 Plan Manager or Education Savings Account Custodian (Financial Institution)

- The account from which you are moving assets must have the same Account Owner name as well as Social Security number or Individual Taxpayer Identification number as your WA529 Invest Account. Please contact your current 529 Plan manager or custodian for proper mailing address.
- If you do not provide a breakdown of your investment portion and earnings portion with your rollover check, the entire amount may be treated as earnings that may be taxable upon withdrawal.

Account Number of 529 Plan or ESA	
Name of Current 529 Plan Manager or Custodian (Usually a Financial Institution)	
Address of Current 529 Plan Manager or Custodian	
City	State Zip Code
Contact Person	Telephone Number

Check this box if the Beneficiary on this account differs from the Beneficiary indicated in Section 2.

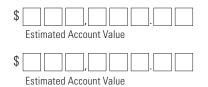
## 4. Instructions to Current 529 Plan Manager or Education Savings Account Custodian

The assets described below must all be held by the Financial Institution indicated in **Section 3**. Your rollover proceeds will be invested according to the standing allocation instructions on file at the time the assets are received. If you have not established an Account, they will be invested according to what you choose on the **Account Application**.

#### Check one.

#### A. Roll over all of the assets in my account to WA529 Invest. (To list more than two current accounts, use a separate sheet.)

Account Number	



#### B. Roll over a portion of the assets as directed below to WA529 Invest. (To list more than two options, use a separate sheet.)

Account Number	Name of Investment Portfolio
\$,	
Account Number	Name of Investment Portfolio
\$,	

Date (mm-dd-yyyy)

# SIGNATURE — YOU MUST SIGN BELOW

# By signing below, I certify that the information contained in this form, and in any required documentation, is true, complete and correct.

- I certify that I have read and understand, consent, and agree to all of the terms and conditions of the WA529 Invest Program Details Booklet, and understand the rules and regulations governing rollover contributions from other 529 Plans and ESAs.
- I understand that IRS regulations permit only one such rollover for the same Beneficiary in a 12-month period for 529 Plan accounts.
- Please call WA529 Invest at 1-844-529-5845 if you have any questions concerning this process.

### SIGNATURE

Signature of Account Owner

# Medallion Signature Guarantee — IF APPLICABLE

**Important Information.** Your current 529 Plan may require a Medallion Signature Guarantee on this form and it may have additional requirements before releasing your funds. To avoid delays in processing your rollover, contact your current 529 Plan for instructions before mailing this form to WA529 Invest. You may be required to provide proof of your authority to act on behalf of this account to your bank or broker before a Medallion Signature Guarantee will be provided.

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.
- The lack of a required Signature Guarantee could delay this rollover.

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the WA529 Invest Program Details Booklet.

SIGNATURE Signature of Account Owner (In the presence of the authorized officer.)	Authorized Officer to place stamp here
SIGNATURE	
Signature of Guarantor	
Title	
Name of Institution	
Date (mm-dd-yyyy)	

#### 6.

Authorization and acceptance (No Account Owner action is necessary in this section.)

WA529 Invest hereby agrees to accept the rollover described herein and upon receipt will deposit the proceeds in the Account established on behalf of the Account Owner named herein.

Authorized signature, WA529 Invest

#### **INSTRUCTIONS TO CUSTODIAN**

Send redemption proceeds by check to **WA529 Invest**, **P.O. Box 219072**, **Kansas City**, **MO 64121-9072**. Make the check payable to WA529 Invest. Include the Account Owner name and WA529 Invest Account number *(if provided)* on the check and enclose a statement that shows the principal and earnings in the account.

TIAA-CREF Tuition Financing, Inc., Program Manager. TIAA-CREF Individual & Institutional Services, LLC, Member FINRA, distributor and underwriter for WA529 Invest.



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