

# Edvest College Savings Plan Incoming Rollover Form



Please read the Edvest College Savings Plan Description for complete Rollover information before completing this form.

- Your rollover proceeds will be invested according to the allocation instructions you provide in **Section 4**. For new Accounts, the Plan will follow the allocation instructions on the application you submit with this form.
- Complete a separate form for each account and submit a new **Account Application** along with this form, unless you already have a Plan Account for this Beneficiary.
- Complete all sections in blue or black ink and print in capital letters. Be sure to sign and date this form. A Medallion Signature Guarantee may be required as described in **Section 5**.

To request assistance in completing this form call us at **1.888.338.3789**, Monday through Friday from 7 a.m. – 9 p.m. CT.

**1.888.338.3789**  
Monday to Friday 7 a.m. – 9 p.m. CT

**www.Edvest.com**

Regular mailing address:  
**Edvest College Savings Plan  
P.O. Box 219437  
Kansas City, MO 64121-9437**

Overnight mailing address:  
**Edvest College Savings Plan  
1001 E 101st Terrace, Suite 200  
Kansas City, MO 64131**

## 1. Rollover Type

- Rollover from a 529 Plan**
- Rollover from an Education Savings Account (ESA)**

## 2. Edvest College Savings Plan Account Information

Account Number (If you have not established an Account, complete and enclose an **Account Application**).

Account Number (If you have not established an Account, complete and enclose an **Account Application**).

Social Security Number or Taxpayer Identification Number (Required)

Social Security Number or Taxpayer Identification Number (Required)

Account Owner (First name) (Required) (M.I.)

Account Owner (First name) (Required) (M.I.)

Account Owner (Last name) (Required)

Account Owner (Last name) (Required)

Telephone Number

Telephone Number

Beneficiary (First name) (Required) (M.I.)

Beneficiary (First name) (Required) (M.I.)

Beneficiary (Last name) (Required)

Beneficiary (Last name) (Required)

Beneficiary Social Security or Taxpayer Identification Number (Required)

Beneficiary Social Security or Taxpayer Identification Number (Required)



3. Current 529 Plan Manager or ESA Custodian (Financial Institution)

- The account from which you are moving assets must have the same Account Owner name as well as Social Security number or Taxpayer Identification number as your Edvest College Savings Plan Account. Please contact your current 529 Plan Manager or Custodian for proper mailing address.
If you do not provide a breakdown of your investment portion and earnings portion with your rollover check, the entire amount may be treated as earnings that may be taxable upon withdrawal.

Account Number of 529 Plan or ESA

Name of Current 529 Plan Manager or Custodian (Usually a Financial Institution)

Address of Current 529 Plan Manager or Custodian

City

State

Zip Code

Contact Person

Telephone Number

Check this box if the Beneficiary on this account differs from the Beneficiary indicated in Section 2.

4. Instructions to Current 529 Plan Manager or ESA Custodian

The assets described below must all be held by the Financial Institution indicated in Section 3. Your rollover proceeds will be invested according to the standing allocation instructions on file at the time the assets are received. If you have not established an Account, they will be invested according to what you choose on the Account Application.

Check one.

A. Roll over all of the assets in my Account to Edvest College Savings Plan. (To list more than two current Accounts, use a separate sheet.)

Account Number

Estimated Account Value

Account Number

Estimated Account Value

B. Roll over a portion of the assets as directed below to Edvest College Savings Plan. (To list more than two options, use a separate sheet.)

Account Number

Name of Investment Portfolio

Amount

Account Number

Name of Investment Portfolio

Amount

**5. SIGNATURE — YOU MUST SIGN BELOW**

**By signing below, I certify that the information contained in this form, and in any required documentation, is true, complete and correct.**

- I certify that I have read and understand, consent, and agree to all of the terms and conditions of the Edvest College Savings Plan Description, and understand the rules and regulations governing rollover contributions from other 529 plans and ESAs.
- I understand that IRS regulations permit only one such rollover for the same Beneficiary in a 12-month period for 529 Plan accounts.
- Please call the Edvest College Savings Plan at **1.888.338.3789** if you have any questions concerning this process.

SIGNATURE

Signature of Account Owner

□□ — □□ — □□□□

Date (mm-dd-yyyy)

**Medallion Signature Guarantee — IF APPLICABLE**

**Important Information.** Your current 529 plan may require a medallion signature guarantee on this form and it may have additional requirements before releasing your funds. To avoid delays in processing your rollover, contact your current 529 plan for instructions before mailing this form to Edvest. You may be required to provide proof of your authority to act on behalf of this account to your bank or broker before a medallion signature guarantee will be provided.

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- **Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.**
- **The lack of a required Signature Guarantee could delay this rollover.**

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the Edvest College Savings Plan Description.

SIGNATURE

Signature of Account Owner (In the presence of the authorized officer.)

SIGNATURE

Signature of Guarantor

Title

Name of Institution

□□ — □□ — □□□□

Date (mm-dd-yyyy)

**Authorized Officer to place stamp here**

**6. Authorization and acceptance** (No Account Owner action is necessary in this section.)

Edvest College Savings Plan hereby agrees to accept the rollover described herein and upon receipt will deposit the proceeds in the Account established on behalf of the Account Owner named herein.

*Margaret Frost*

Authorized signature, Edvest College Savings Plan

**INSTRUCTIONS TO CUSTODIAN**

Send redemption proceeds by check to **Edvest College Savings Plan, P.O. Box 219437, Kansas City, MO 64121-9437**. Make the check payable to Edvest College Savings Plan. Include the Account Owner name and Edvest College Savings Plan Account number (if provided) on the check and enclose a statement that shows the principal and earnings in the Edvest College Savings Plan Account.

